Torsional Vibration Characteristics Analysis of DFIG-based Wind Farm and Its Equivalent Model Research

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As large-scale wind farms (WFs) are integrated to the power grid, the interaction between the WF and the grid may excite shaft torsional vibration of the wind turbine. To study the shaft torsional vibration characteristics of the doubly fed induction generator (DFIG)-based WF, a detailed small signal model for DFIG is established first. Then the small signal model for a WF composed of multi-DFIGs is developed on the basis of the single-machine model. Modal analysis is employed to investigate the torsional vibration characteristics of a WF made up of several identical DFIGs, whose accuracy is also demonstrated through the time-domain simulation. To simplify the torsional vibration analysis for the DFIG-based WF, a reduced order equivalent model is proposed. The results obtained from modal analysis show that the equivalent model not only precisely maintains all the torsional vibration modes of the original small-signal model, but also greatly reduces the computation complexity. With the equivalent model, the “dimension disaster” problem is solved in torsional vibration analysis for large WFs, which is of great help in further damping schemes design.

Keywords: Doubly Fed Induction Generator (DFIG), equivalent model, shaft torsional vibration, small signal analysis, wind farm.

1. Introduction

As a green and renewable energy resource, wind energy has been developed quickly in recent years, which has led to the explosive growth of wind turbine generators (WTGs) connected to the power grid [1, 2]. However, there also exist obvious disadvantages of wind energy, such as intermittency of wind. Thus, the large amount of WTGs integrated to the grid will impact the power system a lot (e.g. low-frequency oscillation and sub-synchronous oscillation (SSO) etc.), which will, in turn, in turn excite shaft torsional vibration of wind turbines [3]. The torsional vibration may reduce the lifespan of the wind turbine, and the even worse case is resulting in shaft break [4]. Therefore, it is of great significance to study the torsional vibration characteristics of WTGs, especially that of large Wind farms (WFs).

To achieve a high conversion efficiency of wind energy, various speed wind turbines are in wide adoption. Among the large number of variable speed wind turbines, doubly-fed induction generator (DFIG)-based wind turbine is popularly used nowadays for its high power efficiency, economical characteristic, and power decoupling controllability [5-7]. There exist a multitude of literatures on DFIG modeling and dynamic characteristics analysis of DFIG-based wind turbines. An available model of DFIG is developed in [8] to study how the model parameters will influence the transient responses of DFIG-based wind plants. But the mechanical system and electrical system are both simplified during the investigation. Thus, the dynamic characteristics of the shaft cannot be analyzed in detail. Reference [9, 10] use the modal analysis to characterize the small-signal behavior of DFIG wind turbine, and research the DFIG intrinsic dynamics with the change of the system parameters, operating points, grid strength and some other factors, which neglects the shaft torsion vibration during the research. To reflect the dynamic characteristics of the shaft, reference [11-13] developed a lump shaft model, a two-mass model and a three-mass shaft model for the drive train of DFIG respectively. The simulation results show that when the drive train is modeled with more masses, the dynamic characteristics of the shaft system can be analyzed more clearly and accurately. It is noted that above researches are concentrated on the dynamic characteristics of a single DFIG, and that of a large WF is not involved, especially the shaft torsional vibration.

With the size of WFs increasing, the wind turbines will influence each other greatly during operation. A small disturbance in the WF may impact the power grid greatly, such as low-frequency oscillation and even system splitting. Also, electrical disturbance (e.g. voltage sag) may excite shaft torsional vibration in one or several WTGs when the damping is at a low level. So it is necessary to study the dynamic characteristics of WFs and the research topic is gaining increasing interests. Reference [14] developed an equivalent model for the fixed speed induction generator (FSIG) WF. During the research, the drive train is modeled with only one mass, which fails to analyze the dynamic characteristic of the shaft clearly. The small-signal model of a WF is developed in [15] to research the effect of series

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compensation capacitor on torsional vibration, while the gear box is represented with the ratio and the shaft system is modeled with just two masses. In [16], the two-mass shaft model is also adopted for the FSIG WF to study the effect of different system parameters on fault-clearing time. However, the torsional vibration between different wind turbines is ignored in the aforementioned literatures since the WF is equivalent to one unit or the mechanical system is not modeled in detail. Also, how will the number of wind turbines influence the torsional vibration of the WF is not researched, either. Another problem is that when the WTGs of a WF are all modeled with differential equations, the state matrix will be in high dimension and difficult or impossible to deal with. Then a valid equivalent model for a WF is necessary to study its dynamic characteristics.

In order to exactly analyze the torsional vibration characteristics of the mechanical system of DFIG, a three-mass shaft model, which consists of blades, a gearbox, a low-speed shaft, a high-speed shaft and an induction generator rotor in [17] is adopted. Then the small signal model of the voltage source converter (VSC) is developed, where the three subsystems, including a rotor side converter (RSC), a grid side converter (GSC) and a DC-link, are modeled respectively. The transmission line and the transformer are modeled as an equivalent RLC (resistor, inductor and capacitor) line. At last, the union small signal model of DFIG consisting of seven modules is obtained. Based on the model of a single DFIG, the small signal model of a DFIG-based WF is derived. Through conducting matrix transformation to the state matrix of the WF model, an equivalent model for the WF is proposed and its accuracy is validated through eigenvalues analysis.

The novelty of this paper is that it: i) develops a small signal model of DFIG-based wind power system for easy shaft torsional vibration analysis; ii) establishes a small signal model for a WF and study its shaft torsional vibration through modal analysis; iii) propose an effective equivalent model for shaft torsional vibration analysis of WF, which reduces the computation complexity significantly.

The remaining parts of this paper are organized as follows. Section 2 provides the union small-signal model of the DFIG consisting of the drive train, the induction generator, the converter controller and the transmission line model. An equivalent model for WF to simplify the torsional vibration analysis is proposed in Section 3. Section 4 employs the modal analysis to study the shaft torsional vibration issues of DFIG-based WF with identical WTGs and the results are demonstrated through time domain simulation. Finally, conclusions are drawn in section 5.

## 2. Model of grid-connected DFIG wind turbine

The schematic diagram of the studied DFIG-based wind turbine is shown in Fig.1 [18]. The wind turbine is connected to the induction generator via a gearbox. The stator side of the induction generator is connected to the infinite bus by a transmission line, including the impedance of transformer and cable. The rotor side is fed through a VSC and a filter to supply exciting voltage to the induction generator, where the inductor is used as a filter. The compensation capacitor is used to provide reactive power for the DFIG. As shown in Fig.1, the model of the grid-connected DFIG wind turbine mainly consists of five parts: the drive train, DFIG, VSC, filter and the transmission line.

### 2.1 Three-mass drive train model

The mechanical system of a wind turbine is composed of windmill blades, a low-speed shaft, a gearbox, a high-speed shaft and the generator rotor. All the shaft parts can be lumped into masses to describe the physical characteristics of the real system. To study the drive train system accurately, a three-mass shaft model in [17] is adopted shown in Fig.2.

![Fig.2 Three-mass drive train model for DFIG](image)
where subscript $DT$ denotes the three-mass drive train model, and

$$
\begin{bmatrix}
X_{DT} = A_{DT}X_{DT} + B_{DT}u_{DT}
\end{bmatrix}
$$

$$
Y_{DT} = C_{DT}X_{DT} + D_{DT}u_{DT}
$$

(2)

where subscript $GT$ refers to DFIG and

$$
X_{GT} = \left[ \Delta \Psi_{r} \Delta \Psi_{s} \Delta \Psi_{e} \Delta \Psi_{es} \Delta \Psi_{esr} \right]^T ;
$$

$$
Y_{GT} = \left[ \Delta \Psi_{e} \Delta \Psi_{es} \Delta \Psi_{esr} \Delta \Psi_{esr} \Delta \Psi_{esr} \right]^T ;
$$

$$
u_{GT} = \left[ \Delta i_{r} \Delta i_{s} \Delta i_{se} \Delta i_{se} \Delta i_{se} \right]^T ;
$$

$$
A_{GT} = \begin{bmatrix}
-\alpha_{o} R_{s} X_{s} D & -\alpha_{o} R_{r} X_{r} D & \alpha_{o} R_{s} X_{s} D & 0 \\
\alpha_{o} R_{s} X_{s} D & 0 & -\alpha_{o} R_{r} X_{r} D & 0 & 0 \\
0 & -\alpha_{o} R_{s} X_{s} D & -s_{o} \alpha_{o} \theta_{b} & -s_{o} \alpha_{o} \theta_{b} & 0 \\
0 & 0 & s_{o} \alpha_{o} \theta_{b} & 0 & -s_{o} \alpha_{o} \theta_{b} \\
0 & 0 & 0 & 0 & 0
\end{bmatrix}
$$

(3)

$$
B_{GT} = \begin{bmatrix}
0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0
\end{bmatrix}
$$

$$
C_{GT} = \begin{bmatrix}
X_{s} & 0 & -X_{s} & 0 \\
0 & X_{r} & 0 & -X_{r} \\
X_{s} \Psi_{e} & 0 & -X_{s} \Psi_{e} & -X_{s} \Psi_{es} \\
0 & X_{r} & 0 & -X_{r} \Psi_{esr}
\end{bmatrix}
$$

$$
D_{GT} = 0_{2x5} ;
$$

$$
X_{r} = X_{r} + X_{m} .
$$

where, $\psi$, $i$ and $u$ denote flux, current and voltage of DFIG respectively, whose subscript $s$ and $r$ denote stator and rotor respectively, and subscript $d$, $q$ denote the components in d-q frame; subscript "0" denotes the initial values of variables in steady state; $R_{s}$ is the stator resistance $(p.u.)$; $R_{r}$ is the rotor resistance $(p.u.)$; $X_{s}$ is the stator reactance $(p.u.)$; $X_{r}$ is the rotor reactance $(p.u.)$; $X_{m}$ is the excitation reactance $(p.u.)$; $\omega_{b}$ is the synchronous angular velocity; $\beta_{b}$ is the base angular velocity; $s_{b}$ is the slip of DFIG.

### 2.3 DFIG converter controller model

The VSC of DFIG consists of a RSC and a GSC, which are connected back-to-back via a DC-link. Because of the variable-frequency supply provided by the DFIG converter, the rotor angular frequency and synchronous angular frequency are decoupled, which realizes the operation of a wind turbine with variable speed.

The equivalent circuit of the VSC is shown in Fig.3.

Fig.3 Equivalent circuit of the DFIG converter.

2.3.1 DC-link model

The power balance equation about the DFIG converter is described by

$$
P_{DC} = P_{r} - P_{g} .
$$

(4)

where $P_{r}$ is the rotor-side active power, $P_{g}$ is the grid-side active power, and $P_{DC}$ is the active power of the capacitor in the dc link.

Then

$$
CV_{DC} \dot{V}_{DC} = u_{dc} i_{dc} + u_{g} i_{g} - \left( u_{s} \psi_{s} + u_{r} \psi_{r} \right) .
$$

(5)

After linearization of (5), the standard state equation of DC-link in p.u. can be obtained as follows:

$$
\dot{X}_{DC} = A_{DC} X_{DC} + B_{DC} u_{DC} .
$$

(6)

where subscript $DC$ refers to the DC-link, and $X_{DC} = [\Delta V_{DC}]$;

$$
u_{DC} = \left[ \Delta u_{dc} \Delta u_{g} \Delta i_{dc} \Delta i_{g} \Delta i_{dc} \Delta i_{g} \Delta \psi_{s} \Delta \psi_{r} \right]^T ;
$$

$$
A_{DC} = 0 ;
$$

$$
B_{DC} = \frac{1}{CV_{DC}} \begin{bmatrix}
i_{dc} & i_{dc} & u_{dc} & 0 & -i_{dc} & -i_{dc} & u_{dc} & 0
\end{bmatrix}
$$

2.3.2 RSC controller model

The RSC is responsible for regulating DFIG active power and terminal voltage based on stator flux vector orientation method. The block diagram of RSC controller is shown in Fig.4, where $u_{w}^{s}$ and $u_{w}^{o}$ are used to control the active power and voltage of DFIG respectively. "$s$" in
the feed-forward pass of $sX_w$ and $sX_v$ in Fig.4 represents the “slip” frequency,

$$P\rightarrow s_{x_1} K_{p_1} K_{x_1} s_{x_2} K_{p_2} K_{x_2} u_w$$

Assume that the converter operates fast enough so that the dynamics can be ignored and the controlled variable closely follows the given value. The corresponding equation of RSC controller is expressed as

$$\dot{x}_i = P_{i,\text{ref}} - P_i = P_{i,\text{ref}} - (i_w r_w^2 + i_w u_{w}^2)$$

$$\dot{v}_{qg,\text{ref}} = K_p(P_{qg,\text{ref}} - P_q) + K_{i_1} i_1$$

$$\dot{v}_{d,\text{ref}} = (Q_{i,\text{ref}} - Q_i) + (i_w r_w^2 - i_w u_{w}^2)$$

$$\dot{v}_{q,\text{ref}} = K_{i_1}(Q_{i,\text{ref}} - Q_i) + K_{i_1} i_1$$

$$\dot{u}_w = P_{i,\text{ref}} - P_i + K_{i_1} x_1 - i_w$$

$$\dot{u}_{qg} = K_{p_2}(P_{qg,\text{ref}} - P_q) + K_{i_1} x_1$$

$$\dot{u}_{d} = K_{p_2}(Q_{i,\text{ref}} - Q_i) + K_{i_1} x_1$$

$$\dot{u}_{q} = K_{p_2}(Q_{i,\text{ref}} - Q_i) + K_{i_1} x_1$$

$$\dot{u}_{w} = sX_w + sX_v$$

During linearization, we have following equations:

$$\Delta x_i = \Delta x_i \Delta x_i \Delta x_i \Delta x_i$$

$$\Delta P_{i,\text{ref}} = 0$$

$$\Delta P_i = \epsilon_{x_1} \Delta u_i + \epsilon_{x_2} \Delta u_i + \epsilon_{x_3} \Delta u_i$$

$$\Delta Q_{i,\text{ref}} = 0$$

$$\Delta Q_i = \epsilon_{qg} \Delta u_{qg} + \epsilon_{d} \Delta u_{d} - \epsilon_{q} \Delta u_{q} - \epsilon_{w} \Delta u_{w}$$

Then the standard state equation of RSC controller is presented as follows:

$$\dot{X}_r = A_r X_r + B_r u_r$$

where subscript $r$ denotes RSC, and

$$X_r = [\Delta x_1 \Delta x_2 \Delta x_3 \Delta x_4]$$

$$u_r = [\Delta u_1 \Delta u_2 \Delta u_3 \Delta u_4 \Delta P_{i,\text{ref}} \Delta Q_{i,\text{ref}}]$$

$$A_r = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \end{bmatrix}$$

$$B_r = \begin{bmatrix} -i_w & -i_w & -i_w & -i_w & 0 & 0 & 0 & 0 \\ -k_p & -k_p & -k_p & -k_p & 0 & 0 & 0 & 0 \\ -k_p & -k_p & -k_p & -k_p & 0 & 0 & 0 & 0 \\ k_p & k_p & k_p & k_p & 0 & 0 & 0 & 0 \\ k_p & k_p & k_p & k_p & 0 & 0 & 0 & 0 \\ \end{bmatrix}$$

2.3.3 GSC Controller Model

The GSC is responsible for controlling the DC-link voltage as a constant value and the output reactive power of DFIG. The block diagram of GSC controller is shown in Fig.5.

$$V_{DC,\text{ref}} \rightarrow \dot{x}_i \rightarrow K_{i_1} \rightarrow u_i \rightarrow u_w$$

Fig.5 Block diagram of GSC controller

Similar to the RSC controller, the linearized equations of the GSC controller can be expressed as

$$\Delta x_i = \Delta V_{DC,\text{ref}} - \Delta V_{DC}$$

$$\Delta u_{qg} = K_{p_2} \Delta V_{DC,\text{ref}} - \Delta V_{DC} + K_{i_1} \Delta x_i$$

$$\Delta x_i = \Delta u_{qg} - \Delta u_{d}$$

$$\Delta u_{d} = K_p \Delta V_{DC,\text{ref}} - \Delta V_{DC} + K_{i_1} \Delta x_i - \Delta u_{qg}$$

where, $\Delta V_{DC,\text{ref}} = 0$ and $\Delta u_{gG,\text{ref}} = 0$.

The standard state equation of GSC controller is given by

$$\dot{X}_s = A_s X_s + B_s u_s$$

where subscript $s$ refers to GSC, and

$$X_s = [\Delta x_1 \Delta x_2 \Delta x_3 \Delta x_4]$$

$$u_s = [\Delta V_{DC,\text{ref}} \Delta V_{DC} \Delta u_{qg,\text{ref}} \Delta u_{d}]$$

$$A_s = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ -1 & -1 & 0 & 0 \\ \end{bmatrix}$$

$$B_s = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \end{bmatrix}$$

2.4 Transmission line model

In an actual wind power system, a series compensation capacitor is usually integrated in the transmission line to enhance the transmission capacity. On the other hand, the series compensation capacitor will influence both the shaft torsional vibration frequency and damping, and even cause SSR problem. So a series compensation capacitor is included in the transmission line in this research. Then the transmission line is equivalent to a RLC line model to study the shaft torsional vibration characteristics to reflect actual situations and capture the generality. Selecting the current and the voltage of the series compensation capacitor as the state variables, the standard state equation of the transmission line in xy-frame is expressed as

$$\dot{X}_{TL} = A_{TL} X_{TL} + B_{TL} u_{TL}$$

$$Y_{TL} = C_{TL} X_{TL} + D_{TL} u_{TL}$$

where subscript TL refers to the transmission line, and

$$X_{TL} = [\Delta u \Delta u \Delta u \Delta u]$$

$$u_{TL} = [\Delta u_1 \Delta u_2 \Delta u_3 \Delta u_4]$$

$$A_{TL} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \end{bmatrix}$$

$$B_{TL} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \end{bmatrix}$$

$$C_{TL} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \end{bmatrix}$$

$$D_{TL} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \end{bmatrix}$$
are the resistance and reactance of the filter respectively.

where, subscript $u$ represents the correlations between adjacent components jointed together, which is easy to realize. The transmission line connects each component of the grid-connected DFIG-based wind power system to the AC grid via a transformer and the transmission line respectively.

After considering the resistance of the inductor, the filter is equivalent to a RL line model. Similar to the transmission line, the state space equation of the filter can be expressed as

$$\begin{bmatrix} \dot{X}_{rl} = A_{rl}X_{rl} + B_{rl}u_{rl} \\ Y_{rl} = C_{rl}X_{rl} + D_{rl}u_{rl} \end{bmatrix}$$  \hspace{1cm} (13)$$

where subscript $RL$ represents the filter and

$$X_{rl} = Y_{rl} = \begin{bmatrix} \Delta t_r \Delta t_i \end{bmatrix}^T; u_{rl} = \begin{bmatrix} \Delta t_r \Delta t_i \end{bmatrix}^T;$$

$$A_{rl} = \begin{bmatrix} -\omega_0 r/x & \omega_0 & -\omega_0/x & 0 \\ -\omega_0 & -\omega_0 r/x & 0 & -\omega_0/x \\ -\omega_0/x & 0 & 0 & \omega_0 \\ 0 & -\omega_0/x & -\omega_0 & 0 \end{bmatrix}; \quad C_{rl} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix};$$

$$B_{rl} = \begin{bmatrix} \omega_0/x & 0 & -\omega_0/x & 0 \\ 0 & \omega_0/x & 0 & -\omega_0/x \\ 0 & 0 & 0 & 0 \end{bmatrix}; \quad D_{rl} = 0;$$

where $r$ and $x$ are the resistance and reactance of the filter respectively.

Similarly, the compensation capacitor can be modeled as

$$\begin{bmatrix} \dot{X}_c = A_cX_c + B_cu_c \\ Y_c = C_cX_c + D_cu_c \end{bmatrix}$$  \hspace{1cm} (14)$$

where, subscript $C$ represents the compensation capacitor and

$$X_c = Y_c = \begin{bmatrix} \Delta t_{c0} & \Delta t_{c0} \end{bmatrix}^T; u_c = \begin{bmatrix} \Delta t_{c0} & \Delta t_{c0} \end{bmatrix}^T;$$

$$A_c = \begin{bmatrix} 0 & \omega_0 & 0 & 0 \\ 0 & 0 & -\omega_0/c & 0 \\ 0 & -\omega_0/c & 0 & 0 \\ -\omega_0/c & 0 & 0 & 0 \end{bmatrix}; B_c = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix};$$

$$C_c = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix};$$

$$D_c = \text{zeros}(2,2).$$

where $x_c$ is the reactance of the capacitor.

### 2.5 Unified small signal model of DFIG

Based on the small signal model developed for each component above, the unified small signal model of the grid-connected DFIG-based wind power system can be established. The interaction between different modules is shown in Fig.6.

![Fig.6 Schematic diagram of the small-signal model](image)

By grouping the equations (2), (3), (6), (9), (11), (12), (13) and (14) together, the unified small signal model can be expressed as follows

$$\begin{bmatrix} \dot{X}_{DFIG} = A_{DFIG}X_{DFIG} + B_{DFIG}u_{DFIG} \\ Y_{DFIG} = C_{DFIG}X_{DFIG} + D_{DFIG}u_{DFIG} \end{bmatrix}$$  \hspace{1cm} (15)$$

where $X_{DFIG} = \begin{bmatrix} X_{df} & X_{dc} & X_{g} & X_{c} & X_{rl} \end{bmatrix}^T$;

$$A_{DFIG} = \begin{bmatrix} A_{dt} & A_{dt,c} & A_{dt,g} & A_{dt,dc} & A_{dt,c,dc} & A_{dc} & A_{dc,g} & A_{dc,dc} & A_{dc,c,dc} \\ A_{dc,c} & A_{dc,dc} & A_{dc,c,dc} & A_{dc,dc} & A_{dc,c,dc} & A_{dc,dc} & A_{dc,c,dc} & A_{dc,dc} & A_{dc,c,dc} \end{bmatrix};$$

$$B_{DFIG} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}^T; \quad C_{DFIG} = \begin{bmatrix} 1 & 0 & 0 & 0 \end{bmatrix};$$

$D = 0$.

To analyze the torsional vibration characteristics of the WF, a WF with several identical 2MW, 690V DFIG-based wind turbines is designed. The schematic diagram of the WF is shown in Fig.7. Assume that the blade diameter of each WTG is 40m, the lateral distance between every two WTGs is set as 100m, and the vertical distance is also set as 100m. Every WTG is connected to the 10kV bus via a transformer and the transmission line.

![Fig.7 Schematic diagram of the DFIG-based WF](image)

Based on the small signal model of a single DFIG-based wind turbine developed in Fig.6, the small signal model for the DFIG-based WF is built in MATLAB/SIMULINK with each component joined together, which is easy to realize. The parameters of the DFIG-based wind turbine are listed in the Appendix.

It is noted that the state matrix $A$ in equation (15) is a 26 order
square matrix. If the WF in Fig.7 is analyzed directly with the application of equation (15), then the state matrix of the WF, e.g. including 6 DFIGs, will be a 150 order matrix, which is rather complex to solve. Also as the number of WTGs increases, the perplexity of the mathematical model and its computation complexity will increase significantly, and the time domain simulation for the WF is rather difficult even impossible to conduct. Therefore, a reduced order equivalent model of the WF is necessary for further deep research.

Take the multi-DFIG system shown in Fig.8 as an example. Each WTG is connected to the 10kV bus with a cable first and then the 10kV bus of the WF is connected to the infinite bus with a long transmission line.

The schematic diagram of the small signal model for the WF is shown in Fig.9. The transformer together with the cable is equivalent to a RLC line model and the state space equation is the same with that shown in equation (12). The transformation capacitor is actually the capacitor of the transmission line and the output current of the DFIGs is converted into the input voltage of the transformer & cable, thus the capacitor is also called transformation capacitor, whose state space equation is the same with equation (14).

According to equation (15), the state space equation of the multi-DFIG system in Fig.9 can be expressed as follows:

\[ \begin{bmatrix}
X_{\text{AFGK}} \\
\vdots \\
X_{\text{AFGK}} \\
X_{\text{RL}}
\end{bmatrix} = 
A_{\text{AFGK}} X_{\text{AFGK}} + A_{\text{AFGk}, \text{RL}(f)} X_{\text{RL}} + 
B_{\text{AFGK}} u_{\text{AFGK}} + B_{\text{AFGk}, \text{RL}} u_{\text{RL}} \]

(16)

where

\[ X_{\text{AFGK}} = \begin{bmatrix} X_{\text{AFGK}} \\ X_{\text{RL}} \end{bmatrix} \]

\[ , i = 1, 2, \ldots, n \; \text{subscript } TC \text{ denotes the transformation capacitor;}
\]

\[ \text{subscript } RL \text{ denotes the filter; subscript Cable denotes the equivalent RL line model of the transformer & cable; subscript TL denotes the transmission line.} \]

As for the transformation capacitor, its input and output satisfy

\[ u_{\text{TC}} = \sum_{i=1}^{n} Y_{\text{AFGk}, \text{TC}(i)} X_{\text{AFGk}, \text{TC}(i)} \]

(17)

\[ Y_{\text{TC}} = u_{\text{Cable}} = \ldots = u_{\text{Cable}} = C_{\text{TC}} X_{\text{TC}} \]

where, \( u_{\text{TC}} \) and \( Y_{\text{TC}} \) are the input current and output voltage of the transformation capacitor, respectively; \( u_{\text{Cable}} \) is the input voltage of the transformer & cable model.

By substituting equation (17) into (16), the following equation can be derived

\[ \begin{bmatrix}
X_{\text{AFGK}} \\
\vdots \\
X_{\text{AFGK}} \\
X_{\text{RL}}
\end{bmatrix} = 
A_{\text{AFGK}} X_{\text{AFGK}} + A_{\text{AFGk}, \text{RL}(f)} X_{\text{RL}} + 
B_{\text{AFGK}} u_{\text{AFGK}} + B_{\text{AFGk}, \text{RL}} u_{\text{RL}} \]

(18)

Equation (18) can be further expressed as
In order to conduct eigenvalue analysis conveniently, the state space matrix of equation (19) is denoted by

\[
A_{ss} = \begin{bmatrix}
A_{DFIG} & 0 & 0 & A_{DFIG,r}(y') & 0 \\
0 & \\
0 & 0 & A_{DFIG} & 0 & 0 \\
0 & 0 & 0 & A_{TC} & 0 \\
0 & 0 & 0 & 0 & A_{TC}
\end{bmatrix}
\]

(20)

Because all the DFIGs in Fig. 7 are in completely symmetry connection, \(A_{DFIG}(i=1,2,\ldots,n)\) in (20) are all the same and denoted by \(A_{DFIG}\). Similarly, \(M_{DFIG} = [A_{DFIG,r}(y') 0] \) \(i=1,2,\ldots,n\); \(M_{DFIG} = [B_{TC}C_{DFIG} 0] \) \(i=1,2,\ldots,n\); \(A_{RL} = [A_{TC} 0]\).

Based on matrix theory, \(A_{ss}\) can be converted into an orthogonal one by using matrix transformation, represented by \(A'_{ss}\) [20],

\[
A'_{ss} = P^{-1}A_{ss}P = \begin{bmatrix}
A_{DFIG} & M_{DFIG} \\
0 & A_{RL}
\end{bmatrix}
\]

(21)

Table I. Eigenvalues of the WF (imaginary part represents oscillation frequency)

<table>
<thead>
<tr>
<th>Number of DFIG</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oscillation modes of the low-speed shaft</td>
<td>-0.154±14.6525i</td>
<td>-0.1545±14.6525i</td>
<td>-0.1545±14.6525i</td>
<td>-0.1545±14.6525i</td>
<td>-0.1545±14.6525i</td>
<td>-0.1545±14.6525i</td>
</tr>
<tr>
<td></td>
<td>-0.1533±14.5764i</td>
<td>-0.1533±14.5764i</td>
<td>-0.1533±14.5764i</td>
<td>-0.1533±14.5764i</td>
<td>-0.1533±14.5764i</td>
<td>-0.1533±14.5764i</td>
</tr>
<tr>
<td>Oscillation modes of the high-speed shaft</td>
<td>-0.4072±2.884i</td>
<td>-0.0161±2.8194i</td>
<td>-0.0197±2.8198i</td>
<td>-0.0214±2.8199i</td>
<td>-0.0224±2.8201i</td>
<td>-0.023±2.8201i</td>
</tr>
<tr>
<td></td>
<td>-0.5416±2.8834i</td>
<td>-0.5416±2.8834i</td>
<td>-0.5416±2.8834i</td>
<td>-0.5416±2.8834i</td>
<td>-0.5416±2.8834i</td>
<td>-0.5416±2.8834i</td>
</tr>
</tbody>
</table>

When some wind turbines’ operating conditions are different from others, the proposed method can also work well after extending the model a little. SUPposing that the first DFIG of a wind farm runs at 25% of rated power. The remaining n-1 DFIGs all run at rated power. The state space matrix of the wind farm system consisting of n wind turbines is denoted by

\[
P = \begin{bmatrix}
k_{1}I & \cdots & k_{n}I \\
k_{1}I & \cdots & k_{n}I \\
\vdots & \ddots & \vdots \\
k_{1}I & \cdots & k_{n}I
\end{bmatrix}
\]

and the coefficients must satisfy \(\sum_{i=1}^{n}k_{i} = 0\), \(j = 1,2,\ldots,N-1\).

For any two similar matrices, their eigenvalues are the same. Thus the eigenvalues of \(A_{ss}\) can be obtained through calculating those of \(A'_{ss}\), which is achieved as follows.

\[
\lambda(A_{ss}) = \{\lambda(A_{ss}) \lambda(A_{ss}) \lambda(A_{ss}) \lambda(A_{ss}) \lambda(M_{DFIG}) \lambda(A_{RL})\}
\]

(22)

From equation (22), it is found that the eigenvalues of \(A_{ss}\) are derived from two parts: N-1 identical matrices \(A_{DFIG}\) and one modified matrix. Namely, the multi-DFIGs system in Fig. 8 can be simplified as a system consisting of n single-DFIGs. The n-1 identical single-DFIGs are connected to the infinite bus directly, while the n-th DFIG with modified output current is connected to the infinite bus via a transmission network. The output current of the modified DFIG is n times that of the original single DFIG.

The equivalent model of the WF is shown in Fig. 10. Therefore for the multi-DFIGs system, there will be n-1 groups of identical eigenvalues. As a result, the shaft torsional vibration analysis for the WF is simplified significantly.
\[-M'_{\text{sec}}\left(\omega_{c} - \omega_{\text{sec}}\right) + M'_{\text{sec}}(\omega_{n} - \omega_{\text{sec}}) = 0\]

4. Studied cases

4.1 Torsional vibration analysis with the small signal model of the WF

4.1.1 Modal analysis To study the shaft torsional vibration characteristic of the DFIG-based WF, the small signal model of Fig.7 is established in MATLAB/SIMULINK first. Varying the number of DFIG from 1 to 6, the shaft torsional vibration characteristics of the low-speed and high-speed shaft can be obtained through the sensitivity analysis, as shown in Table I. The modes of the low-speed and high-speed shafts are separated according to the participation factors, which are used to measure the relative participation of system variables in the oscillation modes [19].

In Table I, all the oscillation modes are obtained when the DFIGs are operated at their nominal states. It should also be noted that the eigenvalues are calculated when the damping of the shaft system is zero. The imaginary part has been converted into the characteristic of the DFIG-based WF, the small signal model of the equivalent multi-DFIGs system is built in MATLAB/SIMULINK. As has been discussed above, the six-DFIGs WF can be simplified into 6 single-DFIG systems. 5 single-DFIGs are connected to the infinite bus directly, and the other one modified including a transformer and a transmission line. Then the small signal model of the equivalent multi-DFIGs system is built in MATLAB/SIMULINK with each component joined together. The parameters for DFIGs are shown in the Appendix. Torsional vibration modes of the low-speed and the high-speed shafts can be obtained by sensitivity analysis, as shown in Table II.

\(1.46525\text{Hz} \leq \omega_{c} \leq 2.8201\text{Hz} \) with the number of DFIGs increasing, and the corresponding oscillation frequencies are 14.6525Hz, which is the same with that of the single DFIG, and the absolute value of the real part is a little smaller than that of the single DFIG, which means the damping of these modes decreases. So, in a WF, the natural oscillation frequency of the low-speed shaft differs from that of the one DFIG case. Also, the shaft torsional vibration for some DFIGs is easier to be excited for the decreased damping.

Similarly, there exist two oscillation modes in the torque of the high-speed shaft as well. The n-1 repeated oscillation frequencies are 14.5764Hz, which is lower than that of the single DFIG, and the absolute value of the real parts is a little smaller than that of the single DFIG, which means the damping of these modes increases. The other n-1 repeated oscillation frequencies are 14.6525Hz, which is lower than that of the single DFIG, and the absolute value of the real parts is a little smaller than that of the single DFIG, which means the damping of these modes decreases. So, in a WF, the natural oscillation frequency of the low-speed shaft differs from that of the one DFIG case. Also, the shaft torsional vibration for some DFIGs is easier to be excited for the decreased damping.

4.1.2 Time domain simulation A WF made up of three DFIGs is taken as an example to demonstrate the results obtained from modal analysis. The time domain simulation is conducted in MATLAB/SIMULINK and the parameters are the same with that of the small signal model except for the damping of the shaft system. After the WF is operated in its stable state, a 1% voltage sag is imposed on the power system at \(t=1\text{s}\), which sustains for a period of 0.1s. In order to achieve converged shaft torque in a short time, the damping of the shaft system of the time-domain model is set at a relatively high value. Simulation results are illustrated in Fig.11.

\[
\begin{align*}
\text{(a) Shaft torque of DFIG 1} & \\
\text{(b) Shaft torque of DFIG 2} & \\
\text{(c) Shaft torque of DFIG 3} & 
\end{align*}
\]

Fig.11 Torsional vibration of a three-DFIG WF

It can be observed from Fig.11 that the shaft torsional vibration is excited at \(1\text{s}\) by the small electrical disturbance. The oscillation calms down gradually because of the positive damping. Though the shaft torque of the three DFIGs is in oscillation, they are all around 1 p.u.. After the small electrical disturbance appears at \(1\text{s}\), the maximum shaft torque of three DFIGs reaches 1.028 p.u., 1.03 p.u. and 1.024 p.u. respectively. At \(t=1.1\text{s}\), the voltage sag is removed, the torsional vibration of the shaft begin to decay, which can be explained using the results in Table I. The real part of the oscillation modes of the 3 DFIGs in Table I is negative, which means the damping for the mode is positive and the shaft torsional vibration will decay after the disturbance is removed.

The frequency spectrum of the shaft torques in Fig.11 is shown in Fig.12. It can be seen that the shaft torques oscillate at six frequencies. The frequencies of 2.766Hz, 2.67Hz and 2.67Hz are for the oscillation modes of the low-speed shaft for the three wind turbines, and that of 14.69Hz, 14.59Hz and 14.59Hz are for the oscillation modes of the high-speed shaft. Two kinds of torsional modes appear in the high and low shaft torque respectively, which are consistent with the results derived from the modal analysis.

\[
\begin{align*}
\text{FFT of DFIG1} & \\
\text{FFT of DFIG2} & \\
\text{FFT of DFIG3} & 
\end{align*}
\]

Fig.12 Frequency spectrum of the three-DFIGs WF

4.2 Torsional vibration analysis with the equivalent model of the WF To verify the proposed equivalent model for a WF, the equivalent model of a WF composed of 6 DFIGs is established. As has been discussed above, the six-DFIGs WF can be simplified into 6 single-DFIG systems. 5 single-DFIGs are connected to the infinite bus directly, and the other one modified DFIG connected to the infinite bus via a transmission network, including a transformer and a transmission line. Then the small signal model of the equivalent multi-DFIGs system is built in MATLAB/SIMULINK with each component joined together. The parameters for DFIGs are shown in the Appendix. Torsional vibration modes of the low speed and the high-speed shafts can be obtained by sensitivity analysis, as shown in Table II.

Table II. Torsional vibration modes of the 6-DFIGs WF
As shown in Table II, there are four kinds of torsional modes in the original model. There exist one frequency of 14.6525 Hz and 5 repeated frequencies of 14.5764 Hz in the high-speed shaft torque. There exist one frequency of 2.8211 Hz and 5 repeated frequencies of 2.8834 Hz in the high-speed shaft torque. Both the oscillation frequency and the damping are for the 5 single DFIGs are the same with that shown in Table I. As for the modified DFIG, the oscillation frequency and the damping for the low-speed shaft is the same with that in Table I. While the oscillation frequency for the high-speed shaft is 2.8211 Hz, a litter higher than 2.8201 Hz in Table I. At the same time, the damping is 0.0223, a little smaller than 0.023 shown in Table I. Thus, the equivalent model maintains the torsional vibration modes of the original small-signal model completely.

**Equivalent modeling method proposed in this paper brings a significant reduction in the system order by using matrix transformation and the problem of "curse of dimensionality" appearing in modeling for large-scale WFs can be avoided.**

### 5. Conclusions

Through developing small signal model for DFIG-based wind turbines first, that for a multi-DFIG WF is established. At the same time, an equivalent model for the WF is proposed. Through conducting simulation in MATLAB/SIMULINK, the effectiveness of the proposed equivalent model is validated and the conclusions are drawn then summarized as follows.

- For a DFIG-based WF with N identical WTGs, there are two kinds of torsional modes in the high speed and low-speed shaft torque respectively. For the low-speed shaft, there exist one single oscillation frequency, which is the same with that of the single DFIG case, and N-1 repeated oscillation frequencies. For the high-speed shaft, there exist one single oscillation frequency, which decreases first and then increases with the number of DFIGs increasing, and N-1 repeated oscillation frequencies.
- With the number of DFIGs in a WF increasing, the complexity of the mathematical model and its computation will increase significantly. The proposed equivalent model of the WF is able to simplify the original small signal model a lot. By an appropriate similarity transformation, the N-DFIGs WF can be equivalent to N-1 identical single DFIGs, which have the same eigenvalues, and one N-time-current modified DFIG. Therefore only two torsional frequencies need to be analyzed in the equivalent model, which will reduce the model complexity and improve the computation speed.

### Acknowledgement

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### Appendix

All the parameters in this paper are in their p.u. value and the base power is 2 MW.

- **Induction generator**: \( n_{p} = 2; \ X_{s} = 3.9507 \text{p.u.}; \ X_{e} = 0.0924 \text{p.u.}; \)
- **Transmission line**: \( X_{c} = 3.9507 \text{p.u.}; \)
- **DFIG converter**: \( C_{DC} = 2.591 \text{p.u.}; \)
- \( X_{con} = 0.0024 \text{p.u.}; \)
- \( R_{con} = 0.0024 \text{p.u.}; \)
- \( R_{X} = 0.044 \text{p.u.}; \)
- \( R_{R} = 0.0064 \text{p.u.}; \)
- \( R_{T} = 0.0055 \text{p.u.}; \)
- \( K_{p} = 0.01; \ K_{i} = 0.02; \ K_{d} = 0.02; \ K_{r} = 0.02; \ K_{d} = 0.02; \)
- \( K_{c} = 0.01; \ K_{d} = 18; \ K_{nl} = 50; \)
- \( H_{1} = 1. \)

The equivalent modeling method proposed in this paper brings a significant reduction in the system order by using matrix transformation and the problem of "curse of dimensionality" appearing in modeling for large-scale WFs can be avoided.

### References

6. Bejaoui, M., Slama-Belkhodja, I., Monmasson, E., Marinescu, B., &
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