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3 **Neurotoxicity induced by okadaic acid in the human neuroblastoma SH-SY5Y line can**
4 **be differentially prevented by $\alpha 7$ and $\beta 2^*$ nicotinic stimulation**
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11 ^{*,†,¶}Laura del Barrio¹, ^{*,#}María Dolores Martín-de-Saavedra¹, ^{*,†,#}Alejandro Romero, ^{*,†}Esther
12 Parada, ^{*,†,#}Javier Egea, [‡]Jesús Avila, [§]J Michael McIntosh, [¶]Susan Wonnacott and
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16 ^{*,†,#}Manuela G. López
17
18
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21 ^{*}Instituto Teófilo Hernando, [†]Departamento de Farmacología y Terapéutica; Facultad de
22 Medicina, Universidad Autónoma de Madrid, Spain.
23
24
25

26 [‡]Centro de Biología Molecular Severo Ochoa. Universidad Autónoma de Madrid. Spain
27

28 [§]Department of Biology and Psychiatry, University of Utah, Salt Lake City, Utah, USA,
29

30 [¶]Department of Biology and Biochemistry, University of Bath, Bath, United Kingdom.
31
32

33 [#]HIV Unit. Hospital La Paz/Autónoma University School of Medicine. IdiPAZ
34
35
36
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38 ¹*These authors contributed equally*
39

40 **Running title:** nAChR reduce okadaic acid neurotoxicity
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43
44 **Corresponding author:** Manuela G. López
45

46 Departamento de Farmacología, Facultad de Medicina,
47

48 Universidad Autónoma de Madrid
49

50 C/ Arzobispo Morcillo 4 E-28029 Madrid. Spain
51

52 E-mail: manuela.garcia@uam.es
53
54

55 Phone: +34-914975386 Fax: +34-914973120
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ABSTRACT

A good model of neuronal death that reproduces the characteristic tau (τ) hyperphosphorylation of Alzheimer's disease is the use of okadaic acid (OA). The aim of this study was to determine the contribution of $\alpha 7$ and $\beta 2^*$ nicotinic acetylcholine receptor (nAChR) subtypes to neuroprotection against OA in the SH-SY5Y cell line, by using the selective $\alpha 7$ and $\beta 2^*$ nAChR agonists PNU 282987 and 5Iodo-A85380, respectively. The results of this study show that both $\alpha 7$ and $\beta 2^*$ nAChR can afford neuroprotection against OA-induced neurotoxicity. Protection mediated by $\alpha 7$ nAChRs was independent of Ca^{2+} and involved the intracellular signaling pathway JAK2/PI3K/Akt. When Ca^{2+} entry was promoted through the $\alpha 7$ nAChR by using the $\alpha 7$ -selective positive allosteric modulator PNU 120596, protection was lost. By contrast, protection mediated by $\beta 2^*$ nAChRs was Ca^{2+} -dependent and implicated the signaling pathways PI3K/Akt and ERK1/2. Both $\alpha 7$ and $\beta 2^*$ nAChR activation converged on down-regulation of GSK3 β and reduction of τ phosphorylation in cells undergoing cell death induced by OA. Therefore, targeting nAChR could offer a strategy for reducing neurodegeneration secondary to hyperphosphorylation of protein τ .

Key words: Okadaic acid, SH-SY5Y neuroblastoma, nicotinic receptors, hyperphosphorylation of Tau, PNU 282987, 5IA 85380.

INTRODUCTION

Alzheimer Disease (AD) is characterized by a progressive loss of memory, often with deterioration of language as well as deficits in visual and motor coordination. At the cellular level, it has been shown that cell death (Cummings 2004) occurs especially in cholinergic neurons (Whitehouse *et al.* 1982) and that this neuronal death is related to the loss of memory in AD patients (Haass and Selkoe 2007). At the molecular level, AD is characterized by the presence of tangles rich in the intracellular microtubule-associated protein tau (τ) (Lee and Trojanowski 1992) and extracellular deposits of amyloid peptides that form senile plaques (Price *et al.* 1991). A link between these two proteins has been demonstrated since beta-amyloid can trigger tau phosphorylation and the subsequent degeneration of the affected neurons (Busciglio *et al.* 1995; Alvarez *et al.*, 2002; Liu *et al.*, 2004). It has also been shown that beta-amyloid fibrils can induce neurotoxicity and tau hyperphosphorylation without plaque formation; it therefore seems that beta-amyloid pathology could precede hyperphosphorylation of tau and the formation of neurofibrillary tangles and neurodegeneration (Busciglio *et al.*, 1995)

In this study we have focused on τ hyperphosphorylation as a mechanism for neuronal death (Irizarry *et al.* 1997; Pizzi *et al.* 1995). In the brains of AD patients, there is a high percentage of hyperphosphorylated τ protein (Avila 2000) and an accumulation, in certain neurons, of paired helical filaments (PHF) in which hyperphosphorylated τ is the major protein present (Grundke-Iqbal *et al.* 1986; Grundke-Iqbal *et al.* 1988). Destabilization of microtubules and the consequent decrease in axonal transport is believed to be responsible for retrograde degeneration of neurons in AD (Alonso *et al.* 1994; Alonso *et al.* 1997; De Vos *et al.* 2008) and also for cell death in “*in vitro*” models of τ hyperphosphorylation (Cowan *et al.*; Perez *et al.* 2002; Tanaka *et al.* 1998). Inhibition of phosphatases that act on some abnormally phosphorylated sites characteristic of AD (Gong *et al.* 1994) may also lead to τ

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3 protein hyperphosphorylation (Tanaka *et al.* 1998). These phosphatases include the Ser/Thr
4 PP-1, PP-2A and PP-2B (Gong *et al.* 1994; Wang *et al.* 1995) and their activity has been
5
6 shown to be diminished in brain tissue from Alzheimer's disease patients (Gong *et al.* 1995;
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8 Gong *et al.* 1993).
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12 Okadaic acid, a seaweed toxin, inhibits phosphatases with the preference PP2A > PP1
13 > PP2B. A consequence of its action is τ hyperphosphorylation "*in vivo*" (Tian *et al.* 2004)
14
15 and "*in vitro*" (Tanaka *et al.* 1998; Uberti *et al.* 1997). It therefore provides a good model that
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17 reproduces τ hyperphosphorylation and cell death. In this study we have used OA in the
18
19 human neuroblastoma cell line SH-SY5Y to model aspects of cell damage relevant to
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21 Alzheimer's disease (Arias *et al.* 2005; Cowan *et al.*; Perez *et al.* 2002; Tanaka *et al.* 1998), in
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23 order to investigate neuroprotective strategies.
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30 There is a body of evidence for nAChRs exerting neuroprotective effects against a
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32 variety of insults (Dajas-Bailador and Wonnacott 2004; Picciotto and Zoli 2008). With
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34 respect to Alzheimer's disease, nicotinic agonists have been reported to be effective against
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36 A β -induced toxicity "*in vivo*" and "*in vitro*" (Arias *et al.* 2005; Kihara *et al.* 1998). Their
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38 efficacy in τ hyperphosphorylation models is less well documented.
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42 The majority of studies have highlighted the $\alpha 7$ nAChR as the main nAChR subtype
43
44 responsible for neuroprotective effects mediated by nicotinic agonists (Arias *et al.* 2005;
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46 Bitner *et al.* 2009; Haydar and Dunlop). However, non $\alpha 7$ nAChR subtypes have also been
47
48 found to contribute to neuroprotection (Tizabi *et al.* 2004), depending on the cell type and
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50 toxic stimulus. Because the roles of different nAChR subtypes are not fully understood, we
51
52 have exploited subtype-selective ligands, namely the $\alpha 7$ nAChR agonist PNU 282987
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54 (Bodnar *et al.* 2005), the β^* -selective agonist 5-Iodo-A-85380 (5IA 85380) (Mukhin *et al.*
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56 2000) and the $\alpha 7$ nAChR positive allosteric modulator PNU 120596 (Hurst *et al.* 2005) in this
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58 study
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3 The results demonstrated nAChR-mediated neuroprotection against OA. Protection
4 mediated by $\alpha 7$ nAChRs was independent of Ca^{2+} and involved the intracellular signaling
5 pathway JAK2/PI3K/Akt. Sustained activation of $\alpha 7$ nAChR to promote Ca^{2+} entry was
6 achieved with the positive allosteric modulator but under these conditions protection was lost.
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8 In contrast, protection mediated by $\beta 2^*$ nAChRs was Ca^{2+} -dependent and implicated the
9 signaling pathways PI3K/Akt and ERK1/2. Both $\alpha 7$ and $\beta 2^*$ nAChR activation converged on
10 the inhibition of GSK-3 β , and consequently τ phosphorylation, to afford neuroprotection.
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21 MATERIALS AND METHODS

22 *Materials*

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28 PNU 282987, 5IA 85380, PNU 120596, AG 490, LY 294002, PD 98059 were
29 purchased from Tocris Cookson Inc. (Bristol, UK). Okadaic acid, α -bungarotoxin (BGT),
30 dihydro- β -erythroidine (DH β E), methyllycaconitine (MLA), mecamylamine and AR-A01448
31 were purchased from Sigma-Aldrich (St. Louis, MO). α -conotoxin-MII and α -conotoxin-
32 AuIB were synthesized as previously described (Luo et al., 1998). Alpha-conotoxin AuIB
33 selectively blocks $\alpha 3\beta 4$ nicotinic acetylcholine receptors and nicotine-evoked norepinephrine
34 release (Lou et al, 1998).
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48 *Culture of SH-SY5Y Cells*

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50 SH-SY5Y cells were maintained in a 1:1 mixture of F-12 Nutrient Mixture (Ham12)
51 (Sigma Aldrich, Madrid, Spain) and Eagle's minimum essential medium (EMEM)
52 supplemented with 15 non-essential amino acids, 1 mM sodium pyruvate, 10% heat-
53 inactivated fetal bovine serum (FBS), 100 units/ml penicillin, and 100 $\mu\text{g}/\text{ml}$ streptomycin
54 (reagents from Invitrogen, Madrid, Spain). Cultures were seeded into flasks containing
55 supplemented medium and maintained at 37°C in a humidified atmosphere of 5% CO_2 and
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3 95% air. For assays, SH-SY5Y cells were sub-cultured in 48-well plates at a seeding density
4 of 1×10^5 cells per well. Cells were treated with the drugs before confluence in F-12/EMEM
5 with 1% FBS. These cells, when undifferentiated, express functional nicotinic receptors
6 (Dajas-Bailador *et al.* 2002a). All cells used in this study were used at a low passage number
7 (<13).
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10 11 12 13 14 15 16 17 ***Measurement of lactate dehydrogenase activity***

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20 Extracellular and intracellular lactate dehydrogenase (LDH) activity was measured
21 spectrophotometrically using a cytotoxicity cell death kit (Roche Diagnostics, Mannheim,
22 Germany) according to the manufacturer's instructions. Total LDH activity was defined as the
23 sum of intracellular and extracellular LDH activity; released LDH was defined as the
24 percentage of extracellular compared with total LDH activity.
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34 ***Calcium fluorimetry.***

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36 Increases in intracellular Ca^{2+} in confluent cultures of SH-SY5Y cells grown in 96-
37 well plates were monitored as described by Dajas-Bailador *et al.* (2002a). In brief, SH-SY5Y
38 cells were washed twice with Tyrode's salt solution (TSS: in mM 137 NaCl, 2.7 KCl, 1.0
39 MgCl_2 , 2.5 CaCl_2 , 0.2 NaH_2PO_4 , 12 NaHCO_3 , 5.5 glucose; pH 7.4) and incubated with the
40 membrane-permeable Ca^{2+} -sensitive dye fluo-3 AM (10 μM) and 0.02% pluronic F127 for 1 h
41 at room temperature in the dark. Cells were then washed twice with TSS, before adding 80 μL
42 buffer, with or without nAChR antagonists or PNU 120596, per well. After 10 min, basal
43 fluorescence (excitation 485 nm, emission 520 nm) was recorded for 4 s using a Fluoroskan
44 Ascent fluorescence plate reader (Labsystems, Helsinki, Finland). nAChR agonists (20 μL)
45 were added using an automatic dispenser and changes in fluorescence were monitored for a
46 further 10 s. To normalize fluo-3 AM signals, responses from each well were calibrated by
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3 determination of the maximum and minimum fluorescence values by addition of 0.2% Triton-
4 X100 (F_{\max}) followed by 40 mM $MnCl_2$ (F_{\min}). Maximum fluorescence responses were
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6 calculated as a percentage of $F_{\max} - F_{\min}$.
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10 11 12 ***Immunoblotting*** 13

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15 SH-SY5Y cells were washed once with cold phosphate-buffered saline and lysed in
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17 100 μ L ice-cold lysis buffer (1% Nonidet P-40, 10% glycerol, 137 mmol/L NaCl, 20 mmol/L
18
19 Tris-HCl, pH 7.5, 1 μ g/mL leupeptin, 1mmol/L phenylmethylsulfonyl fluoride, 20 mmol/L
20
21 NaF, 1 mmol/L sodium pyrophosphate, and 1 mmol/L Na_3VO_4). Protein (30 μ g) from the cell
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23 lysates was resolved by sodium dodecyl sulfate–polyacrylamide gel electrophoresis (SDS–
24
25 PAGE) and transferred to PVDF membranes (Amersham). Membranes were incubated with
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27 the following antibodies: anti-extracellular regulated kinase 1/2 (ERK 1/2) and anti-phospho-
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29 ERK1/2 at 1:1000 (Santa Cruz Biotechnology Inc., Heidelberg, Germany); anti-JAK-2 , anti-
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31 phospho-Tyr-211-JAK-2, Anti-phospho-Thr-308-Akt, Anti-Akt, Anti-phospho-Ser-9-GSK3-
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33 β , Anti-phospho-Tyr-216-GSK3- β and Anti-GSK-3 β at 1:1000 (Cell Signalling, Izasa S.A.,
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35 Barcelona, Spain); anti- β -actin at 1:100000 (Sigma, Madrid, Spain). Membranes were also
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37 incubated with Anti-phospho-Ser-396/404-Tau and Anti-Tau at 1:1000 which were
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39 previously used by Lucas et al. (2001). Appropriate peroxidase-conjugated secondary
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41 antibodies at 1:10000 were used to detect proteins by enhanced chemiluminescence.
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50 51 ***Cytoskeleton staining*** 52

53 SH-SY5Y cells were plated on coverslips: 24-48 h after plating the cells, they were
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55 separated into 4 groups: two were treated with EMEM, one with 10 μ M PNU282987 and
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57 another one with 100 μ M 5IA 85380 for 24 h. Then, the control group was maintained in
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59 EMEM and the rest of the groups were treated with okadaic acid for 5 h. After exposure of
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3 the cells to the different treatments, they were rinsed three times with PBS (NaCl 9g/L,
4 NaH₂PO₄ 10 mM, K₂HPO₄ 10 mM). Then, they were fixed in 2% paraformaldehyde in PBS
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6 for 15 min and washed three more times with PBS. Cells were permeabilized by exposure to
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8 0.1% triton in PBS for 1 min. Preparations were washed several times with PBS and then
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10 incubated at room temperature with rhodamine-labeled phalloidin (Sigma-Aldrich, Madrid,
11
12 Spain) 1:1000 in PBS. Coverslips were then thoroughly washed with PBS. In the second
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14 rinsing, Hoechst (Invitrogen, Madrid, Spain) was added (1 µL/mL) in order to mark nuclei
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16 and after the third rinsing the coverslips were mounted in glycerol-PBS (1:1 ; vol/vol). Images
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18 were taken with a confocal microscope (TCS SPE; Leica, Wetzlar, Germany).
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27 *Statistical Analysis*

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29 Statistically significant differences between groups were determined by an analysis of
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31 variance followed by a Newman-Keuls post hoc analysis. The level of statistical significance
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33 was taken at $p < 0.05$.
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RESULTS

Effect of different nicotinic agonists and the $\alpha 7$ allosteric modulator PNU 120596 on OA-induced toxicity

Exposure of SH-SY5Y cells to 30 nM OA for 16 h increased LDH release from 7-10 % (basal conditions) to 36-42 % (OA treatment), consistent with the induction of modest levels of cell death (Fig 1). To determine if nAChRs are able to protect against OA-induced toxicity we incubated SH-SY5Y cells with either the $\alpha 7$ or $\beta 2^*$ selective agonist PNU 282987 (Parada *et al.*) or 5IA 85380, respectively, or with the $\alpha 7$ allosteric modulator PNU 120596. With pre-incubation increasing concentrations of PNU 282987, for 24 h before the addition of OA (see protocol at the top of fig. 1), we observed a gradual reduction in cell death measured as LDH released into the extracellular medium. PNU 282987 exerted a significant but partial protection against OA-induced toxicity at 10 and 30 μM (fig. 1 A). 5IA 85380 also produced significant protection at 100 μM (fig. 1 B). The $\alpha 7$ positive allosteric modulator PNU 120596 alone showed no protection at any of the concentration assayed (0.3 to 10 μM) (fig. 1 C)

Intracellular Ca^{2+} increases mediated by PNU 282987, 5IA 85380 and PNU 120596 plus PNU 282987.

SH-SY5Y cells express $\alpha 3$, $\alpha 5$, $\alpha 7$, $\beta 2$, and $\beta 4$ nAChR subunits (Gentry and Lukas 2002; Peng *et al.* 1994). In order to determine the effects of PNU 282987 and 5IA 85380 on intracellular Ca^{2+} levels and their selectivity on nAChR subtypes, we measured Ca^{2+} signals in SH-SY5Y cells loaded with the dye Fluo-3. For these experiments we employed concentrations of the agonists that showed protection in the previous section in order to gather information about the possible neuroprotective mechanisms of action.

PNU 282987 alone did not induce a significant increase in $[\text{Ca}^{2+}]_c$ at the neuroprotective concentration of 10 μM . However, when the agonist was added to cells pre-

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3 incubated with the allosteric modulator PNU 120596, a significant increase in fluorescence
4 was observed, consistent with increased cytosolic Ca^{2+} . These $[\text{Ca}^{2+}]_c$ increases were
5 mediated by activation of nAChR since the signal was significantly attenuated by the non-
6 selective nAChR antagonist mecamylamine. In particular, these Ca^{2+} signals could be
7 attributed to stimulation of the $\alpha 7$ subtype nAChR since the selective $\alpha 7$ nAChR antagonists
8 α BGT and MLA completely inhibited the increases in fluorescence (fig. 2 A).
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5IA 85380, at the protective concentration of 100 μM , produced a significant
intracellular Ca^{2+} signal by itself (fig. 2B). Selective $\beta 2^*$ antagonists such as DH β E and α -
conotoxin MII or mecamylamine were able to significantly block Ca^{2+} signals induced by 5IA
85380. However, $\alpha 3\beta 4$ (α -conotoxin AuIB) or $\alpha 7$ (BGT) antagonists did not modify the
 $[\text{Ca}^{2+}]_c$ responses mediated by 5IA 85380.

Therefore, under these experimental conditions, 5IA 85380 appears to be activating
heteromeric $\beta 2$ -containing nAChRs while PNU 282987 is activating $\alpha 7$ nAChRs.

Ca^{2+} -dependence in the protective effects of 5IA 85380 and PNU 282987

To determine the influence of Ca^{2+} entry mediated by $\alpha 7$ and $\beta 2^*$ nAChRs in the
neuroprotective effects afforded by PNU 282987 and 5IA 85380, we performed experiments
in the absence or presence of extracellular Ca^{2+} . Since prolonged lack of extracellular Ca^{2+}
can be a toxic stimulus *per se*, we first performed a time course to determine the minimum
time of agonist pre-incubation required to achieve the maximal protective effect (see protocol
on top of Fig. 3).

As figure 3 illustrates, PNU 282987 reduced LDH release in cells injured with OA in a
time-dependent manner; cell death was significantly reduced following only 5 min incubation
with the agonist, 24 hours before exposing the cells to 16 h to OA (fig. 3 A). In the case of
5IA 85380, protection was significant following 1 h pre-incubation (fig. 3 B). For

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3 comparability we selected a 4 h preincubation period for both agonists since this length of
4 exposure produced a level of protection similar to that seen following 24 h pre-incubation.
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6 The presence or absence of extracellular Ca^{2+} was maintained only during the 4 h exposure to
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8 the nicotinic agonist; thereafter, the medium was replaced with fresh EMEM containing Ca^{2+}
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10 for 20 h, and OA was then added for 16 h. The results show that protection mediated by 5IA
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12 85380 was dependent on extracellular Ca^{2+} (fig. 3 D) while that induced by PNU 282987 was
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14 not (fig. 3 C). Moreover, when cells were pre- and co-incubated with the $\alpha 7$ allosteric
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16 modulator PNU 120596 in the presence of Ca^{2+} , the neuroprotective effect of PNU 282987
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18 was lost (fig. 3C). Finally, in the absence of extracellular Ca^{2+} , PNU 120596 did not reverse
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20 the neuroprotection mediated by PNU 282987 (fig. 3 C). These results suggest that Ca^{2+} entry
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22 via $\beta 2^*$ nAChR activation is required to afford a neuroprotective effect. However, in the case
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24 of the $\alpha 7$ nAChR, PNU 282987 exerted a Ca^{2+} -independent neuroprotective effect.
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26 Furthermore, as shown by the experiments with the $\alpha 7$ agonist in the presence of the $\alpha 7$
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28 nAChR allosteric modulator that promotes Ca^{2+} entry through the $\alpha 7$ nAChR subtype (Fig.
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30 2A), protection was lost in a Ca^{2+} dependent way (Fig. 3 C).
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41 ***JAK-2 is involved in protection mediated by $\alpha 7$ but not by $\beta 2^*$ nAChRs***

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43 JAK-2 is a protein that can be physically associated with $\alpha 7$ nAChRs and activated
44 when nicotine binds to this receptor subtype (Shaw *et al.* 2002). To determine if $\alpha 7$ and/or
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46 $\beta 2^*$ nAChR subtype stimulation could activate this kinase under our experimental conditions,
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48 SH-SY5Y cells were incubated with PNU 282987 10 μM , PNU 282987 10 μM plus PNU
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50 120596 10 μM or 5IA 85380 100 μM for different times (5, 15, 30 min and 1 or 24 h) and
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52 JAK-2 phosphorylation was then analyzed by western blotting. Cells treated with PNU
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54 282987 10 μM showed maximally phosphorylated JAK-2 after 15 min incubation; thereafter,
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56 phospho-JAK-2 decreased to basal levels (fig. 4 A). In contrast, 5IA 85380 failed to promote
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3 the activation of JAK-2 at any of the times tested (fig. 4 B). Also, no alteration in phospho-
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5 JAK-2 levels was observed when the $\alpha 7$ agonist was incubated in the presence of the $\alpha 7$
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7 allosteric modulator (fig. 4 C).
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10 JAK-2 has been previously shown to be involved in neuroprotection induced by
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12 nicotine via $\alpha 7$ nAChR stimulation in neurons injured with $A\beta_{1-42}$ (Shaw *et al.* 2002). For this
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14 reason, and because the $\alpha 7$ -selective agonist increased JAK-2 phosphorylation, we evaluated
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16 if the protective effect of PNU 282987 against OA would be lost in the presence of a JAK-2
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18 inhibitor, AG-490. To corroborate that JAK-2 activation was linked with its action on the $\alpha 7$
19
20 and not with the $\beta 2^*$ nAChRs, we also included 5IA 85380 in these experiments. As shown in
21
22 figure 4 D, the protective effect of PNU 282987 was completely prevented by pre- (15
23
24 minutes before) and co-incubation with AG-490, but this compound did not affect protection
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26 afforded by 5IA 85380. Therefore, these results indicate that JAK-2 is linked to $\alpha 7$ nAChR-
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28 mediated survival. Moreover, the presence of the $\alpha 7$ allosteric modulator prevented PNU
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30 282987-induced JAK phosphorylation (fig. 4 C), and this result correlates with the loss of
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32 protection under the same drug treatment conditions (see Fig.1C).
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41 ***Implication of PI3K/Akt in the neuroprotective effect mediated by $\alpha 7$ and $\beta 2^*$ nAChR*** 42 ***stimulation*** 43 44

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46 Multiple nicotinic agonists cause activation of PI3K/Akt (Kihara *et al.* 2001).
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48 PI3K/Akt is known to be one of the targets of JAK-2 (Shaw *et al.* 2002). To investigate if
49
50 exposure to $\alpha 7$ and $\beta 2^*$ nAChR agonists led to Akt activation, we measured Akt
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52 phosphorylation by western blot analysis. Incubation with PNU 282987 or 5IA 85380
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54 produced a statistically significant activation of Akt at 5 and 15 minutes for both agonists (fig.
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56 5 A and B). To determine if protection afforded by $\alpha 7$ and $\beta 2^*$ nAChR was dependent on the
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58 PI3K/Akt pathway, cells were pre-(15 minutes before) and co-incubated with a PI3K
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3 inhibitor, LY 294002 (3 μ M). Protection elicited by PNU 282987 and 5IA 85380 against OA-
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5 toxicity was prevented by the inhibitor LY 294002 (fig. 5 C). Taken together, these results
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7 indicate that protection obtained with both nicotinic agonists is dependent on activation of
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9 PI3K/Akt.
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15 ***ERK 1/2 is involved in the neuroprotective effect mediated by 5IA 85380 but not by PNU***
16
17 ***282987.***
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20 The MAPK intracellular pathway has also been implicated in neuroprotection
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22 processes mediated by nAChR activation (Egea *et al.* 2007). To assess if PNU 282987 and/or
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24 5IA 85380 were able to activate ERK 1/2, we measured the phosphorylation of these kinases
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26 by western blot. As shown in figure 6 A, PNU 282987 10 μ M by itself did not produce ERK
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28 1/2 phosphorylation at any of the time intervals studied. However, 5IA 85380 significantly
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30 increased the level of P-ERK 1/2 after 15 min (fig. 6 B). To corroborate the involvement of
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32 MAPK activation in the neuroprotective effect mediated by 5IA 85380 and not by PNU
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34 282987, we employed a MEK inhibitor, PD 98059. As shown in figure 6 C, the presence of
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36 PD 98059 completely inhibited the protection induced by 5IA 85380 but had no effect on that
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38 of PNU 282987. From these results, it can be concluded that MEK participates in the
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40 neuroprotective effect mediated by β 2* but not by α 7 nAChR stimulation.
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48 ***Involvement of GSK-3 β in neuroprotection induced by α 7 and β 2* nAChR.***
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51 GSK-3 β is a substrate of Akt. Akt phosphorylates GSK-3 β at position Ser-9 and this
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53 phosphorylation inactivates GSK-3 β (Stambolic and Woodgett 1994). On the other hand,
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55 phosphorylation at Tyr-216 has the opposite effect and activates GSK-3 β ; however the
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57 kinase(s) responsible for this phosphorylation are not well characterized (Cole *et al.* 2004;
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59 Hughes *et al.* 1993; Wang *et al.* 1994). We therefore measured nAChR agonist-induced GSK-
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3 3 β phosphorylation by western blot. As shown in figure 7, both PNU 282987 and 5IA 85380
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5 were able to increase Ser 9 phosphorylation after 15 and 5 minutes incubation, respectively
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7 (fig. 7 A and B). Subsequently, this phosphorylation decreased over time and after 24 hours it
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9 was not statistically significantly different from OA control. P-Tyr 216-GSK-3 β and total
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11 GSK-3 β levels were not altered at any of the incubation times (data not shown).
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15 To determinate if GSK-3 β inhibition could be involved in the protection mediated by
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17 PNU 282987 and 5IA 85380, we conducted a neuroprotection experiment in the presence or
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19 absence of a GSK-3 β inhibitor, AR-A014418. Figure 7 C shows how AR-A014418 alone
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21 produced protection against OA and such protection was not statistically different from that
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23 obtained with the nicotinic agonists alone. Since the combination of nAChR agonists plus
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25 AR-A014418 did not afford a greater neuroprotective effect, we deduce that both agonists
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27 were acting through a common mechanism which involves a transient inactivation of GSK-
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29 3 β .
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34 To further investigate how nicotinic agonists and the GSK-3 β inhibitor, pre-incubated
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36 for 24 h before adding OA, induced neuroprotection we evaluated the activation and the
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38 expression of GSK-3 β at the end of the experiment, i.e. after OA treatment. Western blot
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40 analysis of GSK-3 β was carried out after OA exposure for 16 hours alone or in the presence
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42 of the neuroprotective compounds. As shown in figure 8 A, the expression of total GSK-3 β
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44 increased after OA treatment and nicotinic agonists prevented this increased expression to
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46 almost basal levels (fig. 8 A); a similar effect was obtained with the GSK-3 β antagonist AR-
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48 A014418. Interestingly, OA produced a significant increase of total P-Tyr 216 that was also
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50 significantly reduced by the nicotinic agonists and AR-A014418 (fig. 8 B).
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3 ***$\alpha 7$ and $\beta 2^*$ nicotinic agonists reduced τ hyperphosphorylation***
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6 As previously mentioned, OA is an inhibitor of phosphatases that augments τ
7 hyperphosphorylation. In addition, one of the kinases involved in τ phosphorylation is GSK-
8 3β , whose target in the τ substrate is Ser396/404 (Cho and Johnson 2004). The question of
9 how GSK- 3β activity affected the phosphorylation on τ Ser396/404 residue was raised. After
10 OA treatment for 16 h in the presence or absence of PNU 282987, 5IA85380 and AR-
11 A014418, we measured Ser-396/404 τ phosphorylation by western blot. As shown in figure 9,
12 PNU 282987, 5IA 85380 and AR-A014418 significantly reduced τ phosphorylation induced
13 by OA on its Ser396/404 residue.
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28 ***Effect of $\alpha 7$ and $\beta 2^*$ nicotinic agonists on the cytoskeleton alterations caused by okadaic***
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32 AO, besides causing hyperphosphorylation of tau, induces changes in the cytoskeleton
33 that are identified with strong retraction and rounding in cell morphology (Vale and Botana,
34 2008; Yoon et al., 2008; Cabado et al., 2004). Therefore, we also analyzed how treatment of
35 the cells with nicotinic agonists could modify the changes in the cytoskeleton induced by OA
36 treatment.
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44 As shown in figure 10, control cells exposed only to EMEM (Fig. 10A) showed a
45 fibrous cytoarchitecture with a well extended and branched morphology. OA-treated cells
46 showed a retracted morphology with almost no neurites (Fig. 10B). When cells were pre-
47 incubated for 24 h with PNU282987 (10 μ M) (Fig. 10C) or 5IA 85380 (100 μ M) (Fig. 10D)
48 before adding OA, we observed a partial recovery of the fibrous and branched
49 cytoarchitecture as observed in intact cells. This partial recovery of the cytoarchitecture
50 correlates with the partial neuroprotective effects observed when measured as LDH release
51 (Fig. 1 A and B).
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DISCUSSION

Central to this study is the observation that both $\alpha 7$ and $\beta 2^*$ nAChR can transduce signals through different mechanisms to provide protection of cells that undergo hyperphosphorylation of τ . Ultimately, these distinct signalling pathways converge on the regulation of GSK3 β and reduction of τ phosphorylation.

To analyse how Ca^{2+} could be involved in the protective effects of PNU 282987 and 5IA 85380, we first measured cytosolic Ca^{2+} levels in Fluo-3-loaded SH-SY5Y cells. Interestingly, PNU 282987 at the protective concentration of 10 μM did not induce a measureable intracellular Ca^{2+} increase, but in the presence of the allosteric modulator PNU 120596, it gave a robust intracellular Ca^{2+} rise which was blocked by the $\alpha 7$ nAChR-selective antagonists BGT and MLA. These results are in agreement with those previously reported in bovine chromaffin cells (del Barrio *et al.*) or PC12 cells (Dickinson *et al.* 2007). Unlike PNU 282987, 5IA 85380 alone, at a protective concentration, was able to increase intracellular Ca^{2+} levels; this response was blocked by selective $\beta 2^*$ antagonists such as DH β E and α -conotoxin MII but not by $\alpha 7$ (Dickinson *et al.* 2007) or $\alpha 3\beta 4$ selective antagonists, which corroborates the selectivity of 5IA 85380 for $\beta 2^*$ nAChR subtype (Dajas-Bailador *et al.* 2002a; Mukhin *et al.* 2000).

The observation that the $\alpha 7$ agonist exerted its protective effect without apparently inducing intracellular Ca^{2+} increases is against the belief that Ca^{2+} is the second messenger responsible for kinases intracellular activation involved in neuroprotection mediated by $\alpha 7$ nAChR (Dajas-Bailador *et al.* 2002b; Donnelly-Roberts *et al.* 1996; Ferchmin *et al.* 2003). However, examination of the protective efficacy of PNU 282987 in a medium without Ca^{2+} corroborated the Ca^{2+} -independence of the protective effect. In fact, when Ca^{2+} was forced to increase via $\alpha 7$ nAChR activation, with the aid of the positive allosteric modulator, protection

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3 was lost in a Ca^{2+} dependent way. In contrast, the protective effect of 5IA 85380 was
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5 dependent on extracellular Ca^{2+} which corroborates a previous study in which this agonist
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7 offered Ca^{2+} -dependent neuroprotection against glutamate-induced toxicity (Ueda *et al.*
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9 2008). Taken together, these results indicate that $\alpha 7$ nAChR protection is Ca^{2+} -independent
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11 while $\beta 2^*$ nAChR protection is Ca^{2+} -dependent.
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15 Regarding the intracellular signalling pathways involved in the neuroprotective
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17 mechanism associated with the nAChR activation, there are several intracellular kinases
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19 involved in this process (Buckingham *et al.* 2009). In the case of the $\alpha 7$ nAChR, JAK-2
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21 kinase is able to bind to this nAChR subtype under nicotinic stimulation, to transduce signals
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23 to PI3-kinase and Akt (Shaw *et al.* 2002), which results in neuroprotection. Our results show
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25 that only stimulation of the $\alpha 7$ and not the $\beta 2^*$ nAChR can induce JAK-2 phosphorylation.
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27 Activation of the JAK-2/PI3K/Akt route can be independent of Ca^{2+} (Chernyavsky *et al.*
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29 2009) which agrees with the results of this study. The implication of this kinase in protection
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31 mediated by $\alpha 7$ nAChR was confirmed when protection induced by PNU 282987, but not by
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33 5IA 85380, was lost in cells treated with the JAK-2 inhibitor AG-490. Furthermore, the loss
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35 of protection by the $\alpha 7$ agonist in the presence of the allosteric modulator seems to be related
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37 to the loss of phosphorylation of JAK-2 under similar conditions, as determined by the
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39 western blot experiments (Fig. 4C).
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46 Continuing with the intracellular signalling pathways, data from other groups
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48 (Buckingham *et al.* 2009; Quesada *et al.* 2008) as well as our own (Arias *et al.* 2005; Canas *et*
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50 *al.* 2007) have shown that activation of PI3K/Akt is related to cell survival. In line with these
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52 observations, we have seen that both PNU 282987 and 5IA 85380 increased Akt
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54 phosphorylation. Furthermore, the PI3K inhibitor LY 294002 blocked the protective effects of
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56 the $\alpha 7$ and $\beta 2^*$ agonist. Therefore, this signalling pathway is shared by both nAChR subtypes.
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58 In the case of $\alpha 7$ nAChR, it has been described that one of the targets of JAK-2 is precisely
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3 PI3K/Akt (Shaw *et al.* 2002); these kinases can be activated in a Ca²⁺-dependent (Soletti *et*
4
5 *al.*) or independent manner (Chernyavsky *et al.* 2009).
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8 Based on a previous study that showed that non $\alpha 7$ nAChRs are able to activate
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10 MAPK/ERK in a Ca²⁺-dependent way (Nakayama *et al.* 2001) we evaluated if 5IA 85380
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12 could induce ERK 1/2 phosphorylation. In our experimental conditions, ERK1/2 activation
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14 was only induced by 5IA 85380. Participation of MAPK in $\beta 2^*$ nAChR-mediated protection
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16 was supported with the experiments with the inhibitor PD98059 (Fig. 6C).
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20 Since both agonists activated Akt, we tried to identify Akt targets which could be
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22 related to the mechanism of action of OA, which induces τ hyperphosphorylation. One of the
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24 kinases that phosphorylates τ is GSK-3 β (Grimes and Jope 2001), and it is known that P-Akt
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26 inactivates GSK-3 β by phosphorylation of its Ser-9 (Cross *et al.* 1995; Srivastava and Pandey
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28 1998). We observed that $\alpha 7$ and $\beta 2^*$ nAChR stimulation increased phosphorylation of Ser-9-
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30 GSK-3 β after 15 min but it decreased after 24h exposure to the agonist. This temporal pattern
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32 excludes sustained inactivation of GSK-3 β as a prerequisite for the observed neuroprotection.
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34 However, protection mediated by both nAChR subtypes does seem to be related to inhibition
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36 of GSK-3 β since the combination of AR-A014418 (a GSK-3 β inhibitor) with the $\alpha 7$ or $\beta 2^*$
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38 nAChR agonist did not afford additional protective effects (fig. 7G).
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44 After exposing the cells for 16 h to OA, total GSK-3 β expression was increased by
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46 almost two-fold. Increases in the expression of GSK-3 β have been reported in glial progenitor
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48 cells of Alzheimer's disease patients treated with A β (He and Shen 2009) as well as in post-
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50 mortem brain of Alzheimer patients (Lau *et al.* 1999). In fact, there are several transgenic
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52 models that over-express GSK-3 β and reproduce many pathophysiological features of
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54 Alzheimer disease (Engel *et al.* 2006). Taken together, OA seems to increase the expression
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56 of GSK-3 β as observed in Alzheimer's disease patients. Therefore, reduction of total GSK-3 β
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58 expression induced by nicotinic agonists and the GSK-3 β inhibitor could be an interesting
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3 therapeutic approach. Recent studies have shown that lithium, a GSK-3 β inhibitor, and
4 tobacco extracts are able to reduce mRNA and GSK-3 β protein levels respectively (Mendes *et*
5 *al.* 2009; Tian *et al.* 2009). Moreover, total Tyr-216-GSK-3 β phosphorylation (active levels)
6 was also increased by OA alone and this increase was significantly reduced by nAChR
7 agonists and AR- A014418.
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15 GSK-3 β specifically phosphorylates Ser-396/404 of τ (PHF-1, Paired Helicoidal
16 Filament-1) both in cell cultures (Lovestone *et al.* 1994; Tanaka *et al.* 1998) and “*in vivo*”
17 models (Spittaels *et al.* 2000). τ hyperphosphorylation by PP2A inhibition induces a
18 disruption of microtubules causing cell death in SH-SY5Y cells treated with OA (Tanaka *et*
19 *al.* 1998). This microtubule destabilisation compromises axonal transport and induces
20 neurodegeneration of neurons with tangles, a widely accepted characteristic in brains of
21 Alzheimer’s disease patients (Alonso *et al.* 1994; Alonso *et al.* 1997). We have observed that
22 OA almost doubled τ phosphorylation on its Ser-396/404 and that the $\alpha 7$ and $\beta 2^*$ nicotinic
23 agonists, together with the GSK-3 β inhibitor, reduced Ser-396/404- τ -hyperphosphorylation.
24 Furthermore, cytoskeleton alterations caused by okadaic acid were partially reverted with
25 nAChR agonist treatment (Fig. 10).
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42 Apart from reducing tau phosphorylation, nicotinic stimulation also confers
43 neuroprotection and anti-inflammatory actions by activation of some of the intracellular
44 pathways here described (Marrero and Bencherif, 2009; Kawamata and Shimohama, 2011;
45 Parada *et al.*, 2010; Egea *et al.*, 2007), which could also be contributing to the protective
46 effects observed by both $\alpha 7$ and $\beta 2$ nAChR activation.
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53 In conclusion, both $\alpha 7$ and $\beta 2^*$ nAChR activation can mediate protection against OA.
54 $\alpha 7$ nAChR mediated protection involves a Ca²⁺-independent-JAK-2/PI3K/Akt/GSK-3 β
55 signalling pathway. In contrast, the Ca²⁺-dependent protective mechanism mediated by $\beta 2^*$
56 nAChR relates to MEK/ERK1/2, PI3K/Akt activation and GSK-3 β inactivation. However,
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3 both signalling pathways converge on GSK-3 β down regulation and reduction of
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5 phosphorylation of τ in cells exposed to okadaic acid which represents a good model of
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7 neuronal death related to the characteristic tau hyperphosphorylation found in Alzheimer's
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9 disease patients.
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LEGENDS TO FIGURES

Figure 1. Protective effects mediated by the nicotinic agonists PNU 282987 and 5IA 85380 and the $\alpha 7$ allosteric modulator PNU 120596 against okadaic acid-induced toxicity. Cells were pre-treated for 24 hours with increasing concentrations of the $\alpha 7$ nicotinic agonist PNU282987, the $\beta 2^*$ nicotinic agonist 5IA 85380 or the $\alpha 7$ positive allosteric modulator PNU 120596. Thereafter, SH-SY5Y were incubated for 16 h with okadaic acid (OA) 30 nM and at the end of the experiment cell death was evaluated by measuring the release of LDH release to the extracellular medium (see top of the figure). PNU 282987 (**A**) and 5IA 85380 (**B**) offered protection against OA-induced toxicity, however the $\alpha 7$ allosteric modulator PNU 120596 did not (**C**). The data represent means \pm S.E.M. of triplicates of at least 4 different batches of cells. * $p < 0.05$, ** $p < 0.01$ with respect to OA alone.

Figure 2. Intracellular Ca^{2+} increases mediated by the nicotinic agonists PNU 282987 and 5IA 85380 in SH-SY5Y cells loaded with Fluo-3. (**A**) SH-SY5Y cells were stimulated with 10 μ M PNU 282987 alone or in cells pre-incubated during 15 min with the $\alpha 7$ allosteric modulator PNU 120596 (10 μ M); PNU 120596 was also co-incubated with various nicotinic antagonist such as mecamylamine (“Meca” 30 μ M), α -bungarotoxin (“BGT” 100 nM) or methyllycaconitine (“MLA” 100 nM). (**B**) Cytosolic Ca^{2+} increases induced by 5IA 85380 at 100 μ M alone or in the presence of the nicotinic antagonist Meca 30 μ M, BGT 100 nM, α -conotoxin-AuIB (“AuIB” 10 μ M), dihydro- β -eritroidine (“DH β E” 10 μ M) or α -conotoxin-MII (“MII” 200 nM). The data represent the average of maximum fluorescence increases during 10 seconds after the implementation of PNU 282987 10 μ M (**A**) or 5IA 85380 100 μ M (**B**). Experiments were conducted in triplicate on at least 6 different batches of cells. *** $p < 0.001$ with respect to PNU 282987 alone (**A**) or with respect to 5IA 85380 (**B**);

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3 $^{###}p < 0.001$ with respect to PNU 282987+PNU 120596 (**B**); $^{**}p < 0.01$ with respect to 5IA
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6 85380 (**B**).

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10 **Figure 3. Time and Ca^{2+} dependence of cytoprotection mediated by PNU 282987 and**
11 **5IA 85380.** As shown in the upper panel, cells were incubated with PNU 282987 (10 μ M) (**A**)
12 or 5IA 85380 (100 μ M) (**B**) during 5 min, 1 h, 4 h or 24 h, then the agonist was removed and
13 fresh EMEM was added until to complete 24 h; after this period, EMEM was replaced by OA
14 (30 nM) for 16 h. At the end of the experiment, cell death was evaluated by LDH. Figures (**C**)
15 and (**D**) show the mean of LDH values when agonists were pre-incubated for 4 h in the
16 presence or absence of extracellular Ca^{2+} using the same protocol described above. PNU
17 120596 (“PNU 12” 10 μ M) was pre-incubated for 15 min and co-incubated during the
18 application of PNU 282987 (**C**). Data represents the mean of LDH values normalized with
19 respect to OA alone \pm S.E.M. of triplicates of at least 6 different cultures. $^{*}p < 0.05$,
20 $^{**}p < 0.01$ and $^{***}p < 0.001$ with respect to OA; $^{\#}p < 0.05$ and $^{###}p < 0.001$ with respect to
21 the agonists plus OA.
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41 **Figure 4. Differential phosphorylation and implication of JAK-2 in neuroprotection**
42 **mediated by PNU 282987 and 5IA 85380.** PNU282987 (**A**), 5IA 85380 (**B**) and PNU
43 282987 plus the allosteric modulator PNU 120596 were incubated during different time
44 periods (5 min, 15 min, 30 min, 1 h and 24 h); at the end, P-JAK-2 (immunoblots on the top)
45 and JAK-2 total (immunoblots at the bottom) were quantified by western blot. (**D**) Represents
46 the effect of the JAK-2 inhibitor, AG-490, on the neuroprotective action of PNU 282987 10
47 μ M (“PNU 28”) and 5IA 85380 100 μ M (“5IA”). Data are the mean \pm S.E.M. of at least 4
48 different cultures; $^{*}p < 0.05$ with respect to the basal (**A**); $^{***}p < 0.001$ with respect to OA
49 alone and $^{\#}p < 0.05$ with respect to PNU 282987 (**B**).
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Figure 5. Phosphorylation of Akt and implication of PI3K in neuroprotection mediated by PNU 282987 and 5IA 85380. Akt phosphorylation by PNU 282987 10 μ M (A) and 5IA 85380 100 μ M (B) at different incubation periods. (C) Shows the effect of the PI3K inhibitor 3 μ M LY 294002 on the neuroprotection mediated by PNU 282987 10 μ M (“PNU 28”) and 5IA 85380 100 μ M (“5IA”) against OA-induced toxicity. Each value is a mean of at least 4 different cell cultures \pm S.E.M. $*p < 0.05$ and $**p < 0.01$ with respect to the basal in figures (A) and (B); $***p < 0.001$ with respect to OA alone, $^{\#}p < 0.05$ with respect to PNU 282987, $^{\$}p < 0.01$ with respect to 5IA 85380 (C).

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Figure 6. Phosphorylation of ERK 1/2 and implication of MEK in neuroprotection mediated by PNU 282987 and 5IA 85380. ERK 1/2 phosphorylation by PNU 282987 10 μ M (A) and 5IA 85380 100 μ M (B) at different incubation periods. (C) Shows the effect of the MEK inhibitor PD 98059 1 μ M on neuroprotection mediated by PNU 282987 10 μ M (“PNU 28”) and 5IA 85380 100 μ M (“5IA”) against OA-induced toxicity. Each value is a mean of at least 4 different cell cultures \pm S.E.M. $*p < 0.05$ with respect to the basal in (B); $***p < 0.001$ with respect to OA alone, $^{\#\#}p < 0.01$ with respect to 5IA 85380 (C).

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Figure 7. GSK-3 β phosphorylation and implication in neuroprotection mediated by PNU 282987 and 5IA 85380. Time course of Ser-9 were analysed by western blot in protein extracts obtained from cells treated with PNU 282987 10 μ M (A) and 5IA 85380 100 μ M (B) during different time periods. (C) Shows the effect of the GSK-3 β inhibitor AR-A014418 1 μ M on the neuroprotection mediated by PNU 282987 10 μ M (“PNU 28”) and 5IA 85380 100 μ M (“5IA”) against OA-induced toxicity. Each value is a mean of at least 4 different cultures \pm S.E.M. $*p < 0.05$ with respect to the basal in (A and B); $*p < 0.05$, $**p < 0.01$ and $***p < 0.001$ with respect to OA alone (C).

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6 **Figure 8. GSK-3 β expression/phosphorylation under the treatment of OA.** Protein
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8 samples were collected from cells that were pre-incubated for 24 h with PNU 282987 10 μ M,
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10 5IA 85380 100 μ M or AR-A014418 1 μ M and, subsequently this pre-treatment was replaced
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12 by medium with OA 30 nM for 16 hours. Histograms represent the densitometric
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14 quantification of total GSK-3 β (A) and total Tyr-216 (B) phosphorylation, using β -actin for
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16 normalization. Each value is a mean of 4 different cultures \pm S.E.M. * p < 0.05, ** p < 0.01
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18 with respect to basal levels and, # p < 0.05, ## p < 0.01 and ### p < 0.001 with respect to OA
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20 alone.
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27 **Figure 9. Effect of nicotinic agonists and AR-A014418 on Ser-396/404 τ phosphorylation**
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29 **in cells treated with AO.** Protein samples were collected from cells that were pre-incubated
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31 for 24 h with PNU 282987 10 μ M, 5IA 85380 100 μ M or AR-A014418 1 μ M and
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33 subsequently medium was replaced by OA 30 nM for 16 hours. Histograms represent the
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35 densitometric quantification of P-Ser-396/404- τ , using τ total for normalization. Each value is
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37 a mean of 5 different cultures \pm S.E.M. *** p < 0.001 with respect to basal levels and
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39 # p < 0.05 with respect to OA alone.
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47 **Figure 10. PNU 282987 and 5IA 85380 partially prevent cytoarchitecture disruption**
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49 **elicited by OA.** Photomicrographs of double staining of SH-SY5Y marked with Rhodamine-
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51 phalloidin (filamentous actin marker, red) and Hoechst (nuclear marker, blue). Cells were
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53 incubated with PNU 282987 (10 μ M) (C) or 5IA 85380 (100 μ M) (D) during 24 h, then OA
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55 30 nM was added for 5h. A control group without any treatment (A) and another just treated
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57 with OA 30 nM for 5 h were run in parallel (B). As shown in the photomicrographs, PNU
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59 282987 and 5IA 85380 prevented partially the cytoarchitecture alterations caused by OA.
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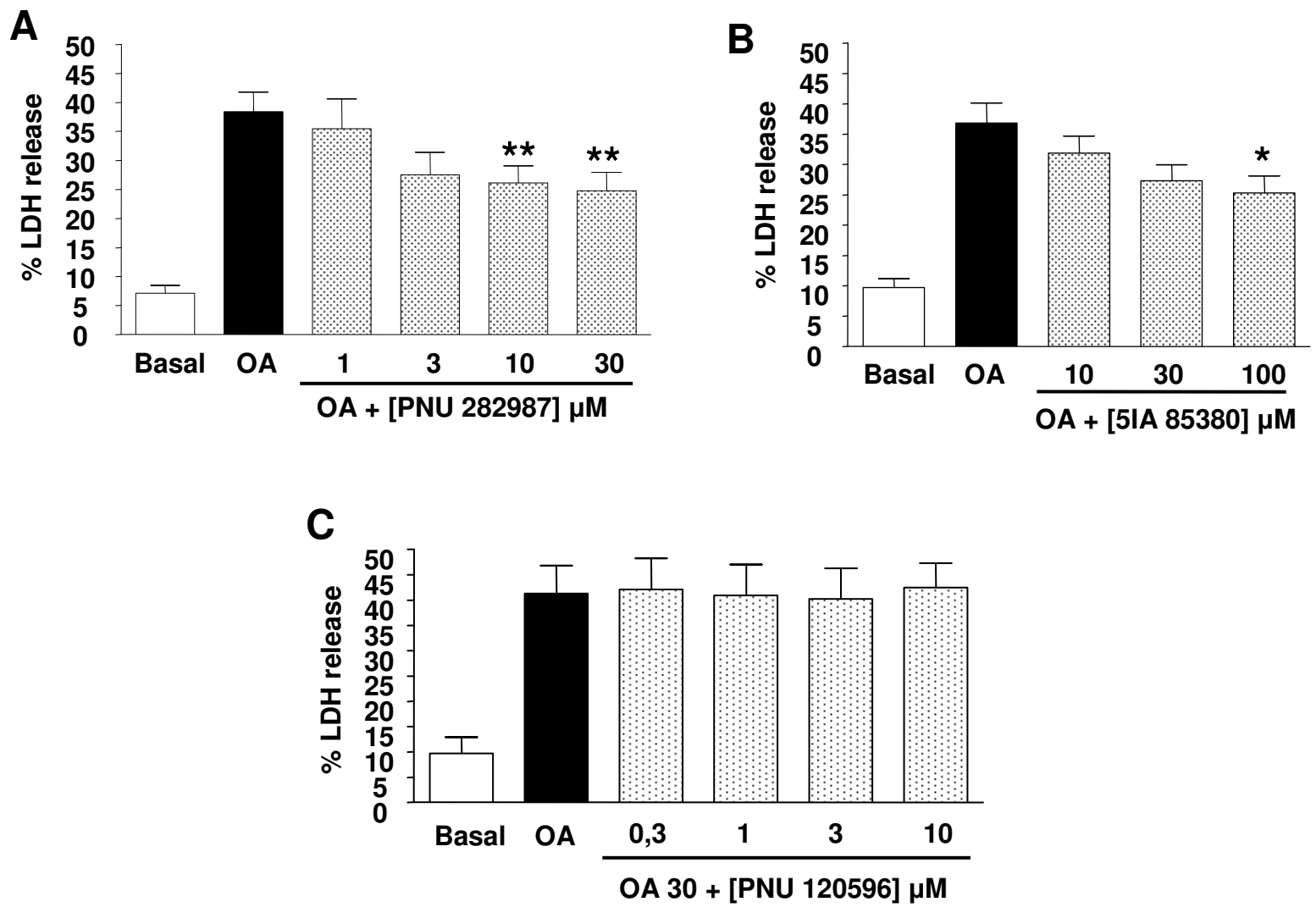
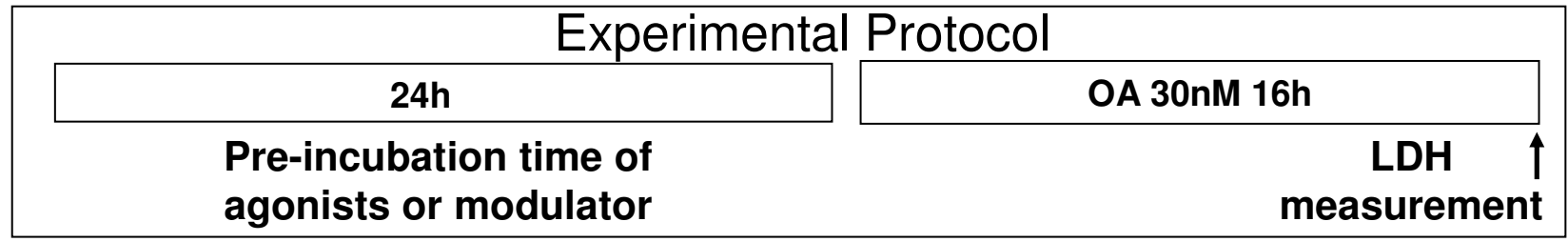


Fig. 1

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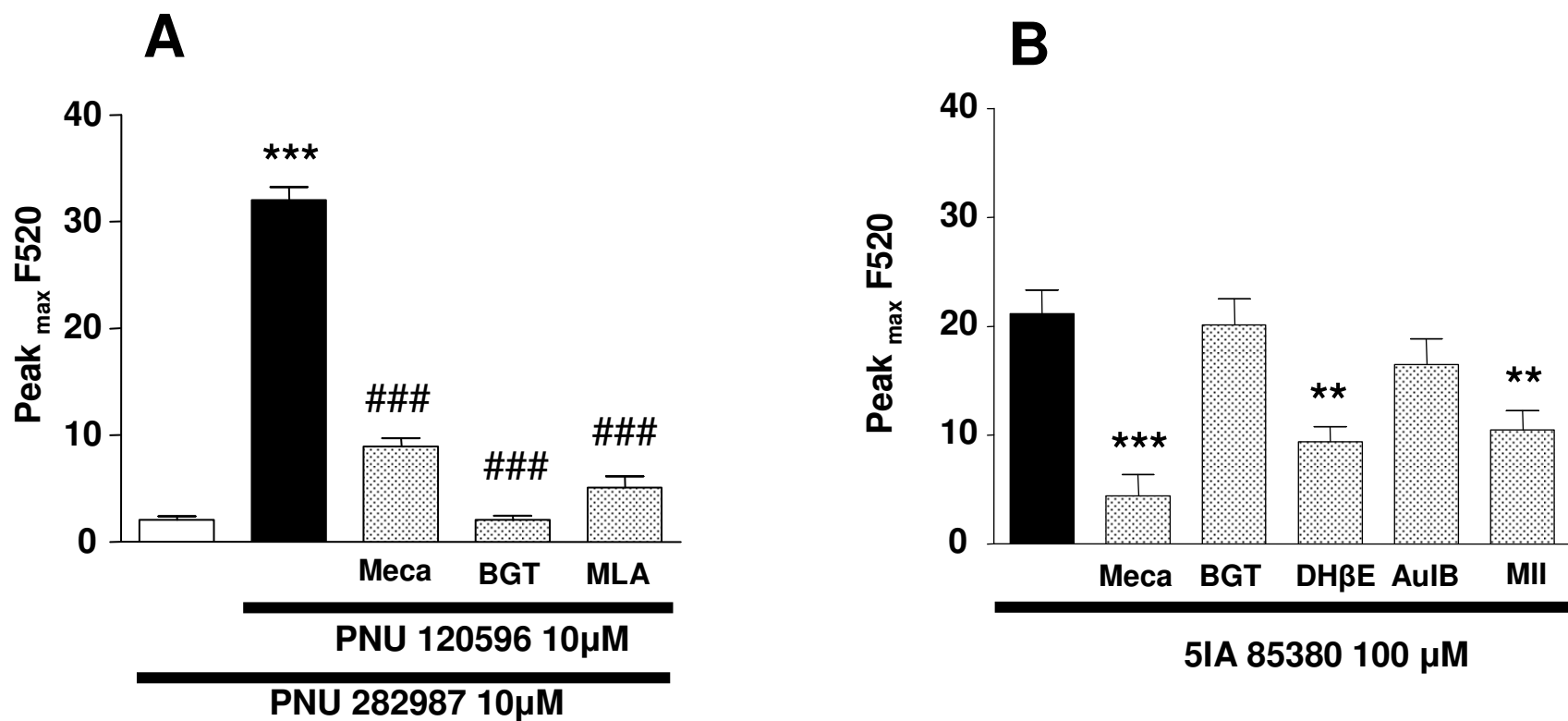


Fig. 2

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Experimental Protocol		
5 min	Fresh medium	OA 30nM 16h
1 h	Fresh medium	OA 30nM 16h
4h	Fresh medium	OA 30nM 16h
24 h		OA 30nM 16h ↑
Agonists pre-incubation time		LDH measurement

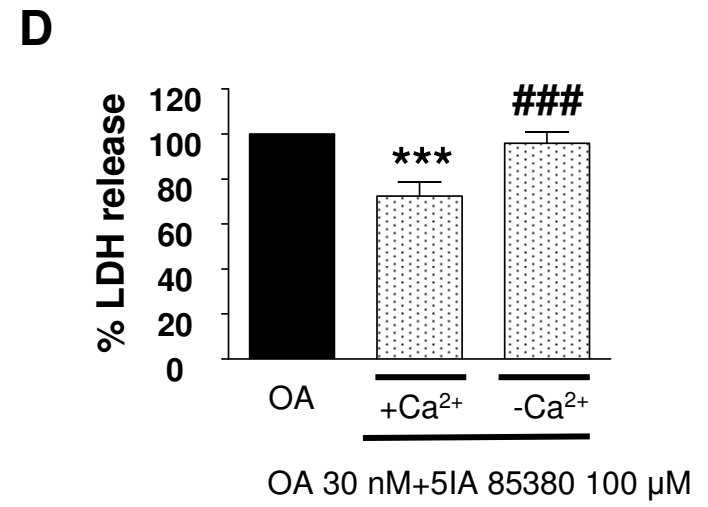
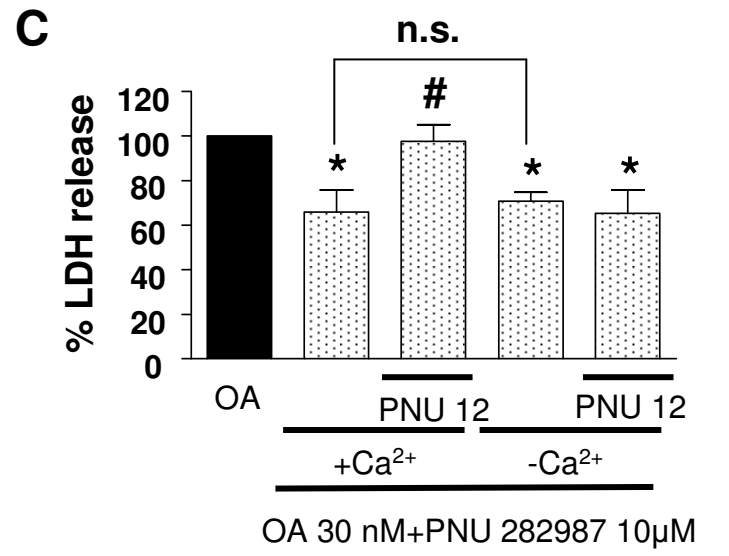
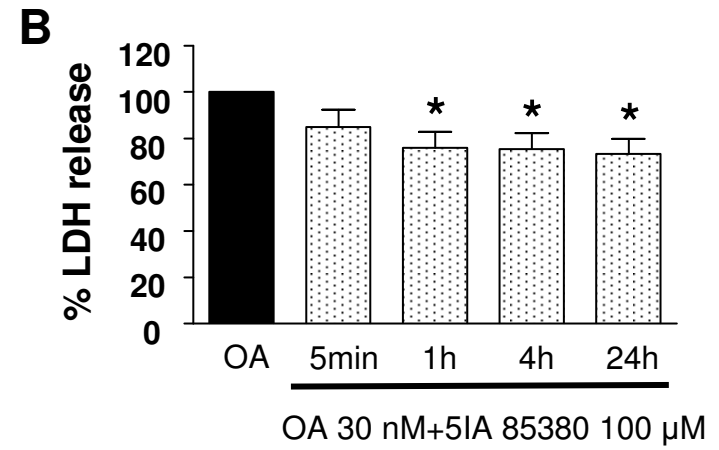
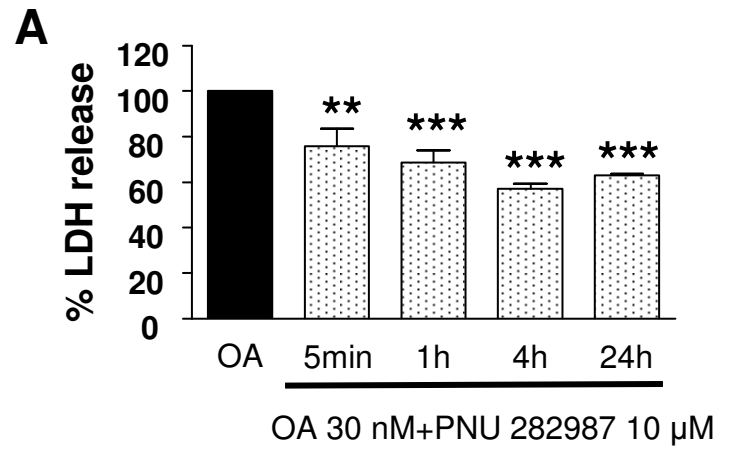
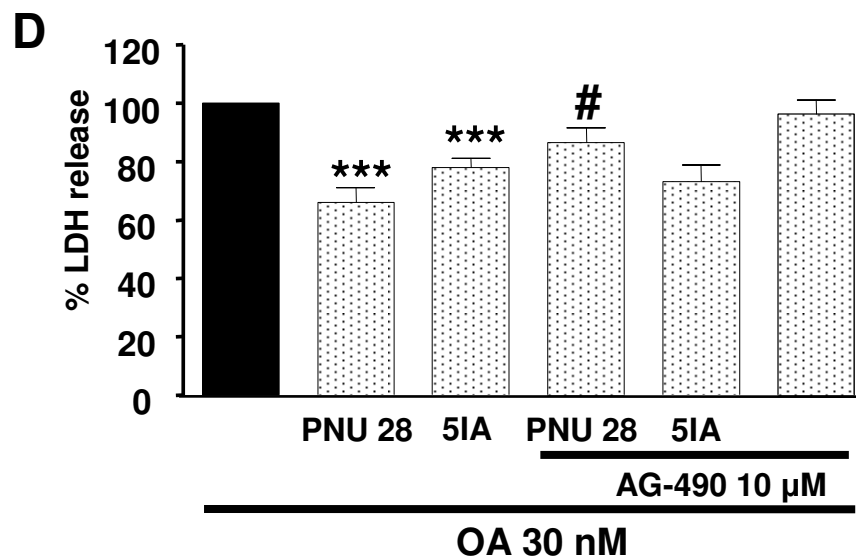
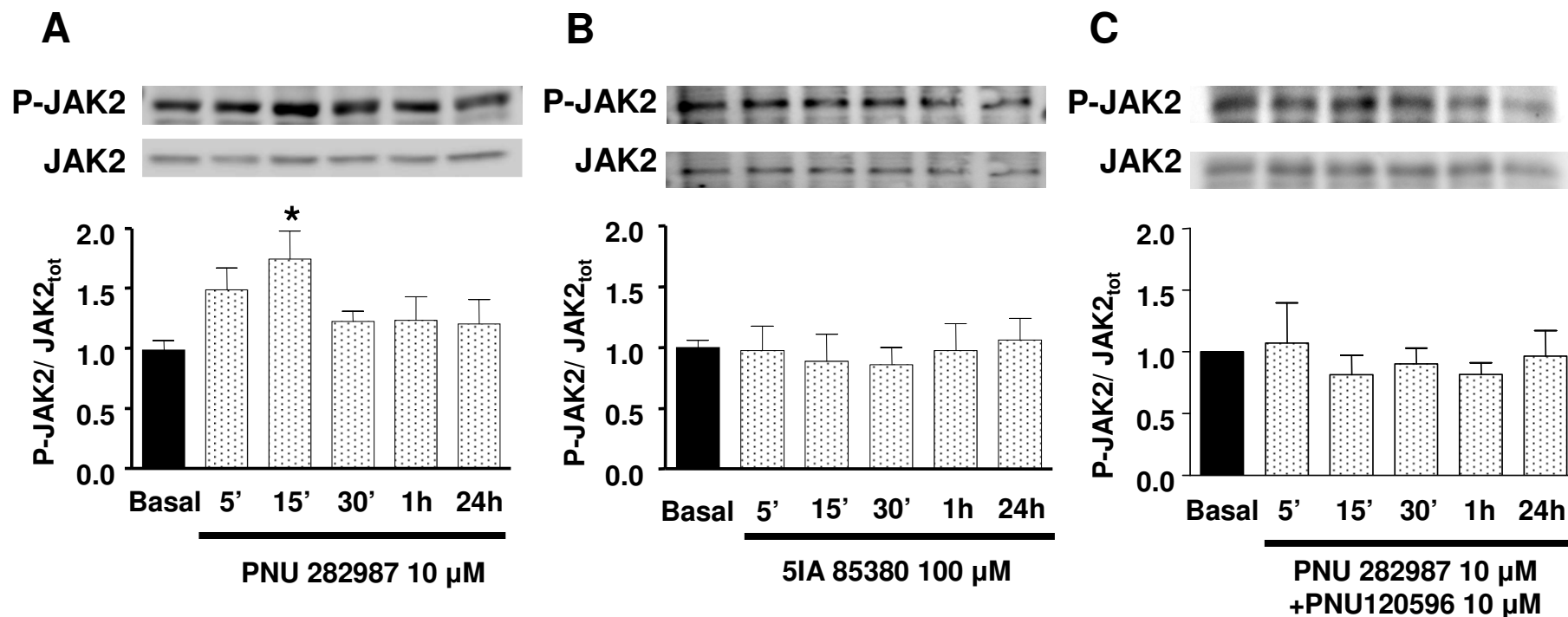


Fig. 3

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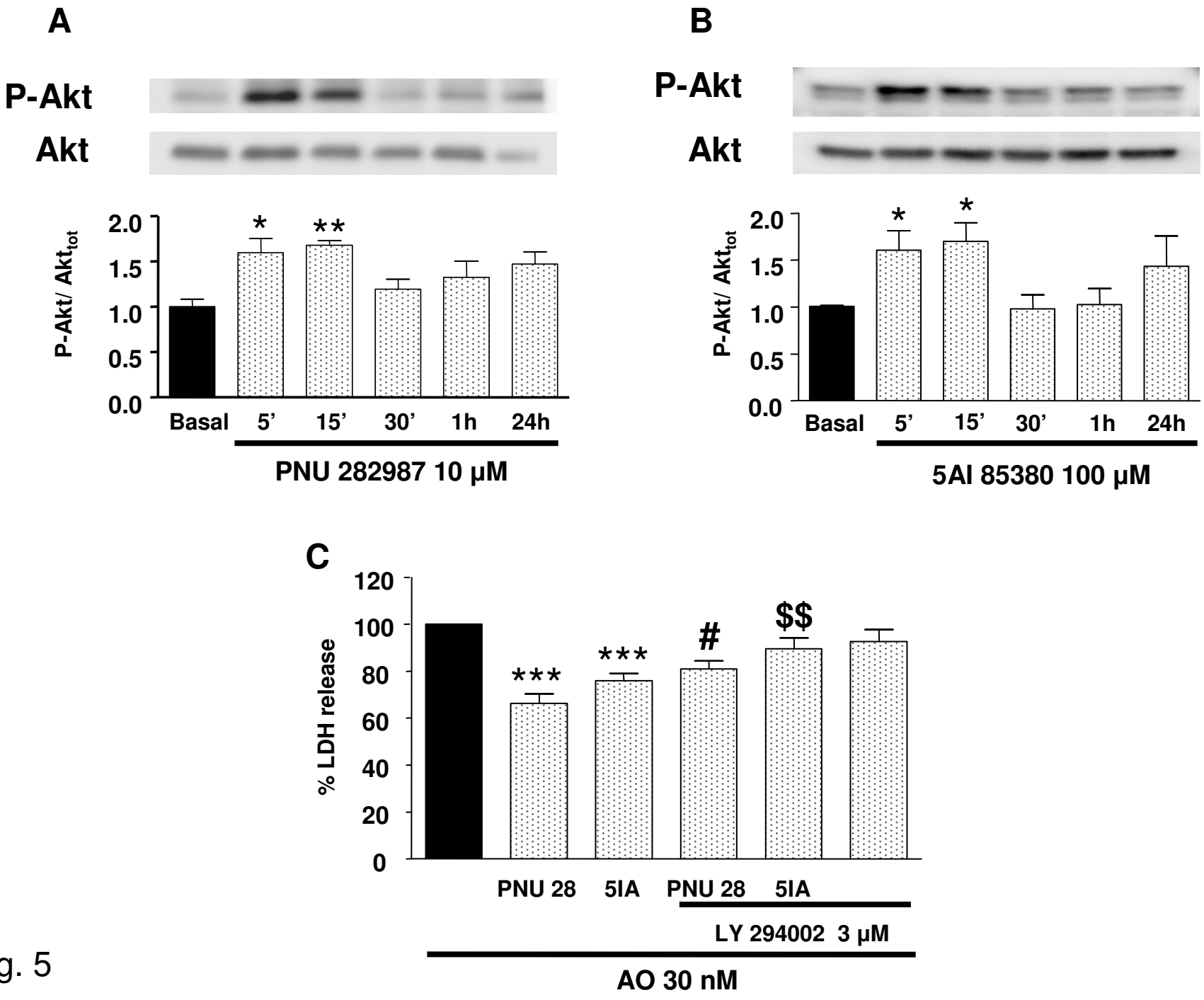


Fig. 5

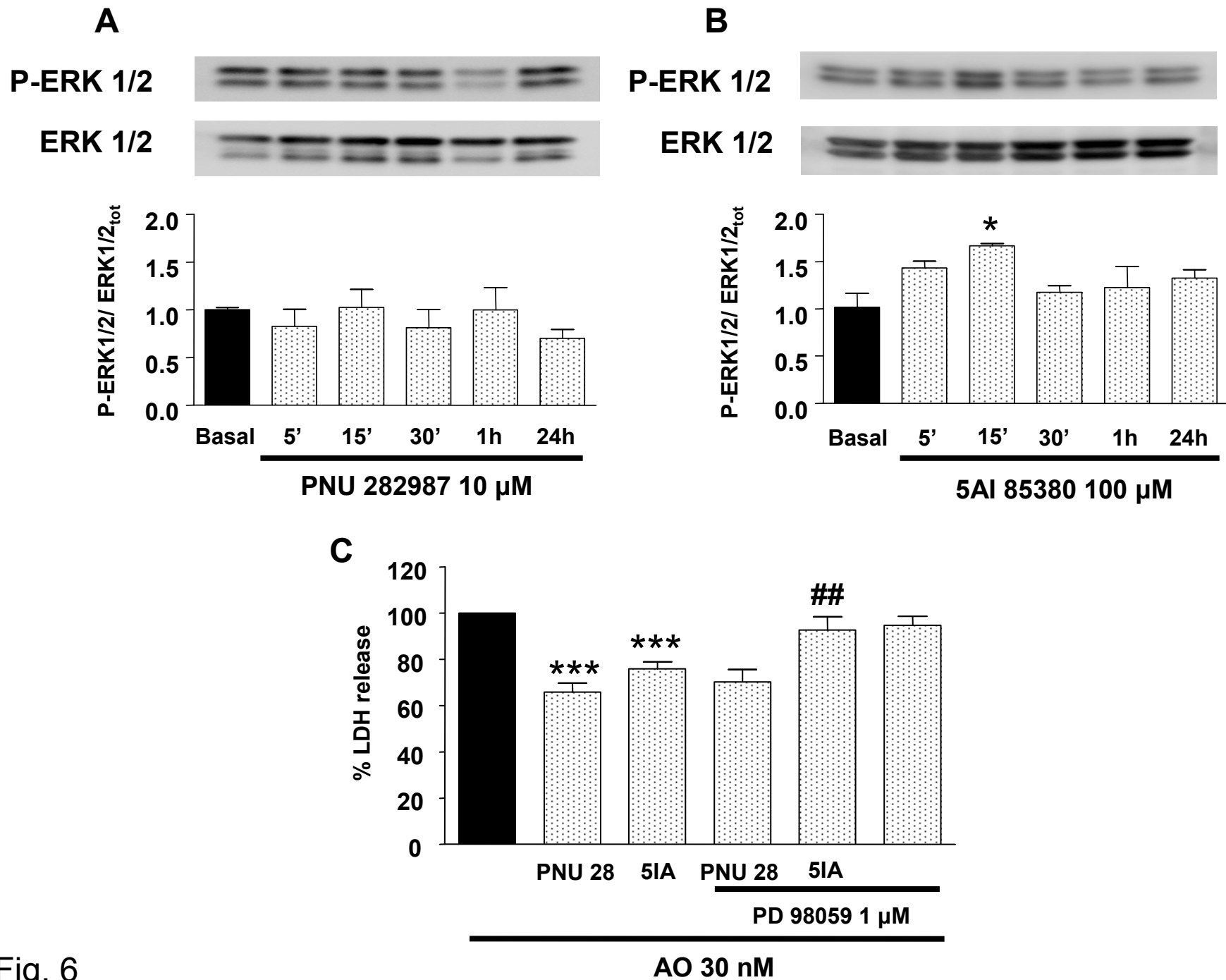
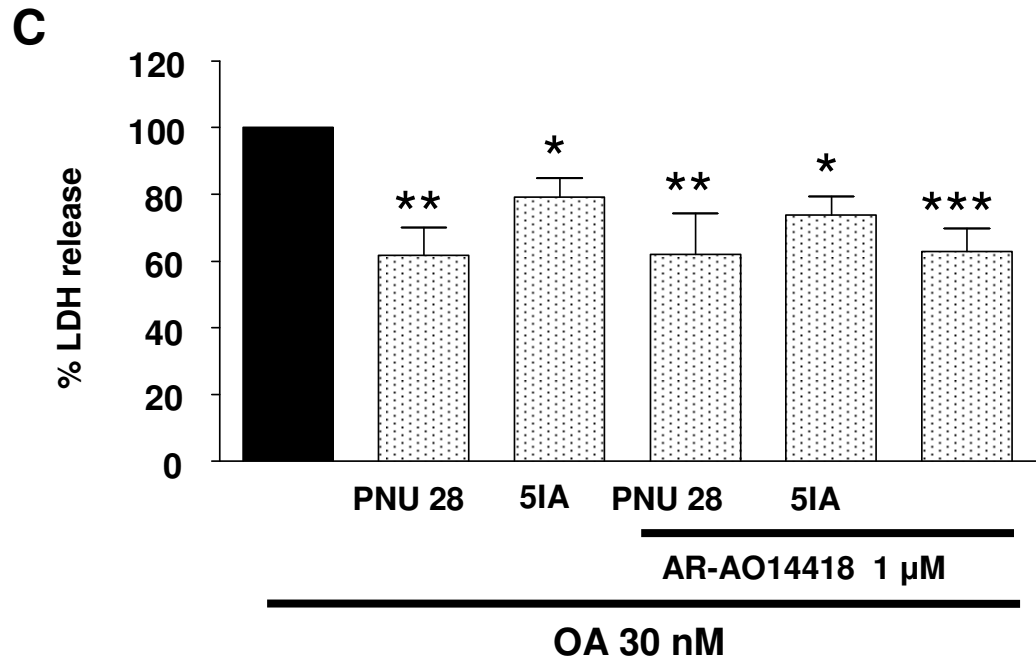
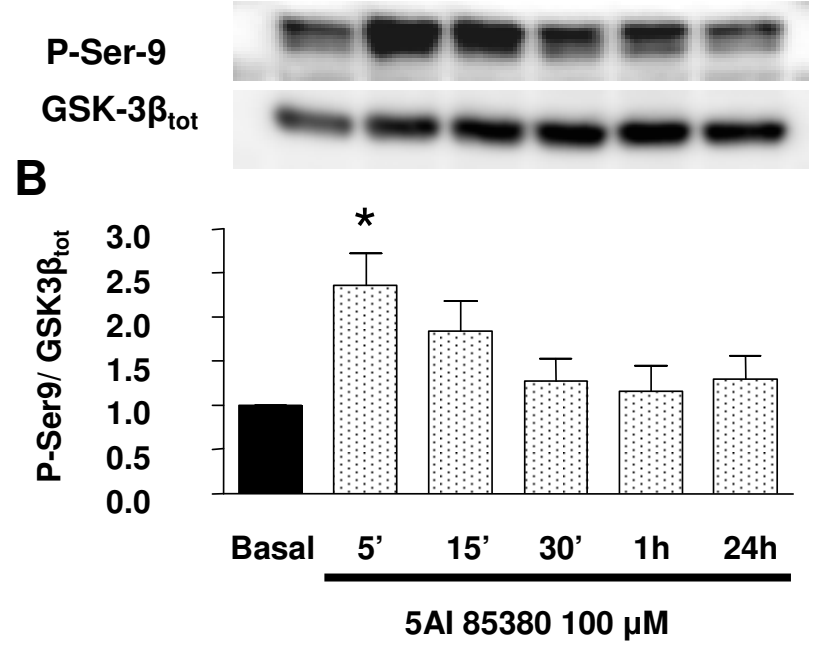
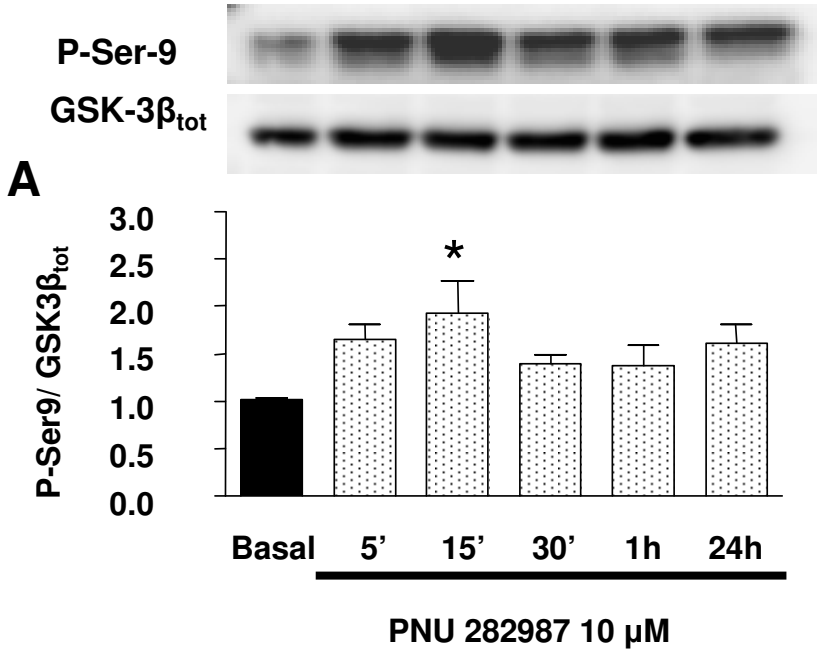
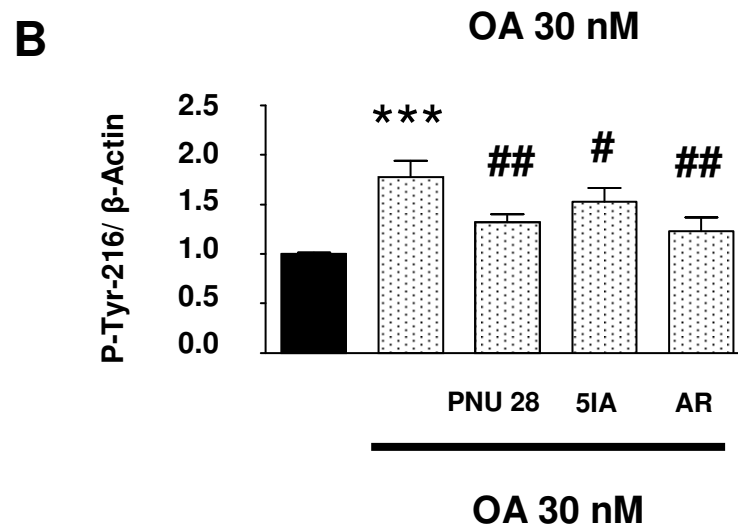
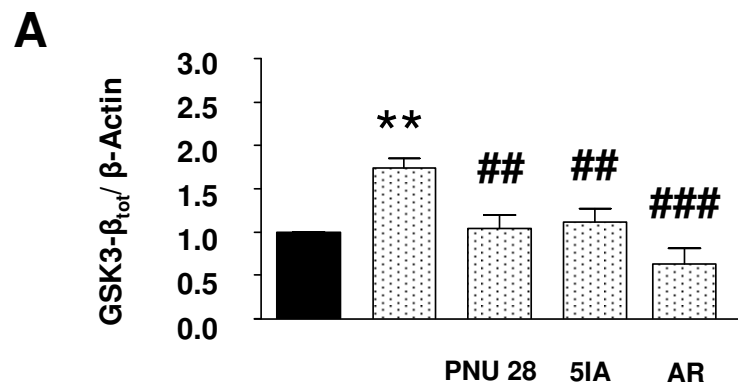
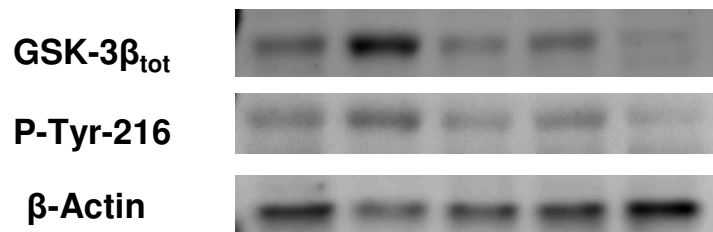


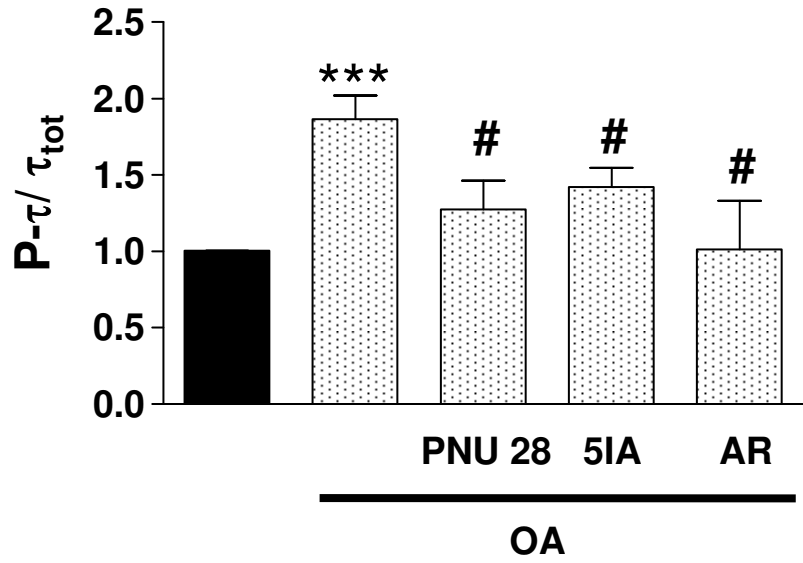
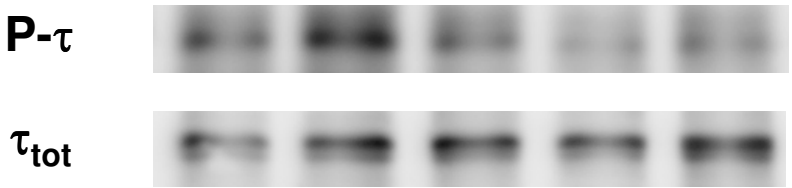
Fig. 6

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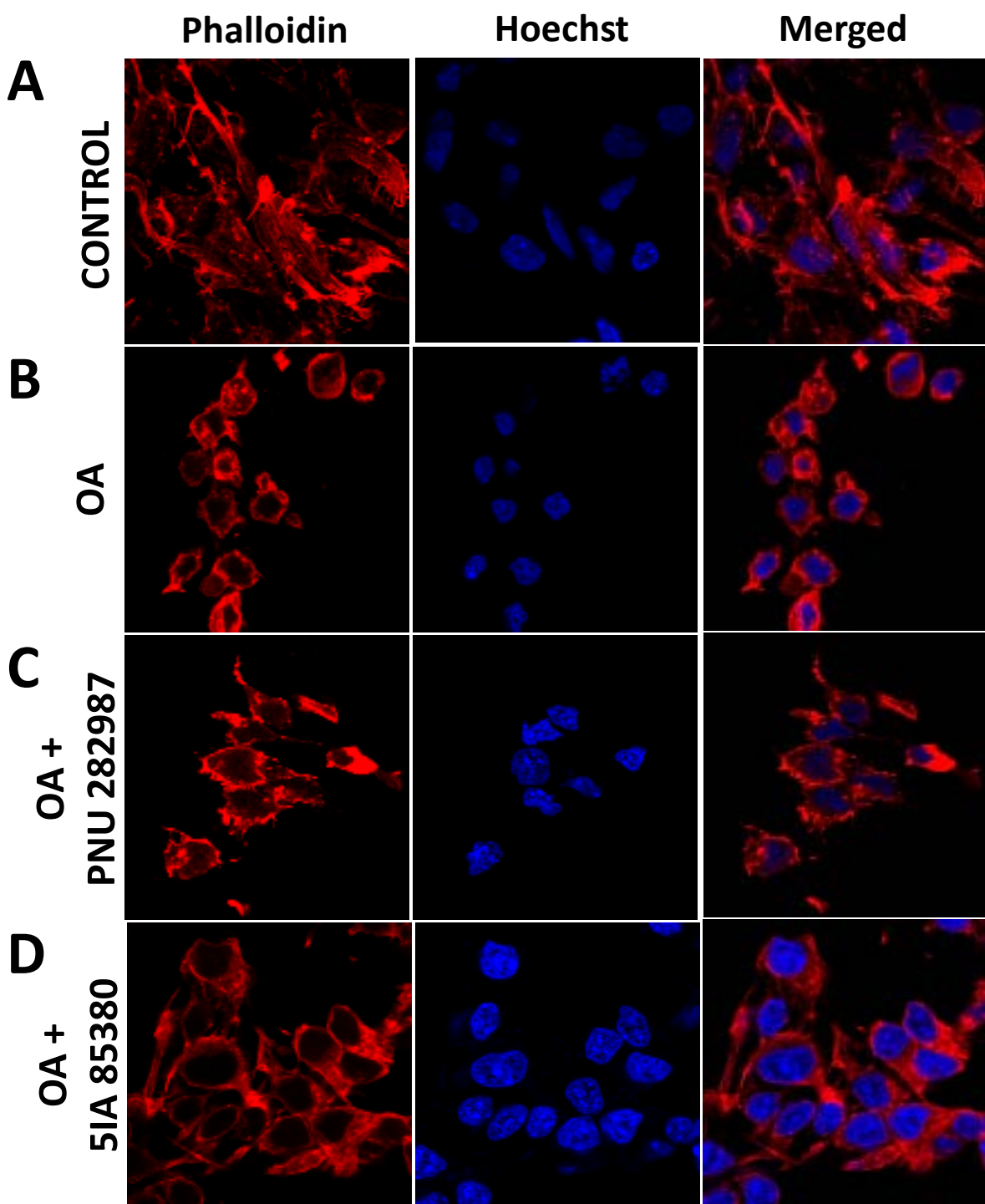


Fig. 10